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Via Electronic Filing

June 24, 2016

The Honorable Kathleen H. Burgess, Secretary New York State Public Service Commission Three Empire State Plaza Albany, New York 12223-1350

SUBJECT: SUEZ Water Westchester Inc. Rate District 1 & 2 Temporary State Assessment Surcharge Case No. 09-M-0311 – Implementation of Chapter 59 of the Laws of 2009 Establishing a Temporary Annual Assessment Pursuant to Public Service Law §18-a (6)

Tariff Filing

Dear Secretary Burgess:

Pursuant to the Order implementing revised Temporary State Assessment on June 18, 2014 as well as an Order issued and effective April 17, 2015 which established the Company's deferral balance as of June 30, 2014 for the above-mentioned case, the Company has updated its deferral balance to June 30, 2016. Enclosed for filing via the electronic filing system, please find the following for both Rate District 1 & 2:

Statement No. 3, Statement Type: TSA, <u>Temporary State Assessment</u> <u>Surcharge</u>, effective July 1, 2016.

The supporting schedules are attached for your review.

Very truly yours,

Peiling Lin

Rate Analyst – Regulatory Business

Attachments

- cc: G Prettyman (w/enc)
 - K. Doherty (w/enc.)
 - S. Chung (w/enc.)
 - V. Chalanick, NYSPSC (w/enc)

.

UNITED WATER WESTCHESTER - RATE DISTRICT 1

Temporary State Assessment Surcharge Calculation Based on Calendar Year 2015 Revenues

| Line | | | | |
|------|---|---------------------------------|----|--------------|
| No. | Description | Source | | Amount |
| 1 | Total Operating Revenues: | Ann Rprt, pg 300 | \$ | 54,605,563 |
| 2 | Adjustments: | | | |
| 3 | Interstate Revenues | Ann Rprt, pg 300 | | = |
| 4 | Sales for Resale | Ann Rprt, pg 300 ⁽¹⁾ | | ¥ |
| 5 | Uncollectibles | Case 13-W-0539 0.43% | | (234,804) |
| 6 | Per 18-a | | _ | (250,000) |
| 7 | Total Adjustments: | | | (484,804) |
| 8 | Assessable Revenues: | п | | 54,120,759 |
| 9 | Assessment Rate: | | | <u>0.73%</u> |
| | | | | |
| 10 | Estimated Combined General Assessment and | | | |
| 11 | Temporary State Assessment Amount: | | | 395,082 |
| 12 | General Assessment in Base Rates: | Case 13-W-0539 | 3 | 132,766 |
| 13 | Estimated Temporary Assessment Surcharge Amount: | | | 262,316 |
| 14 | Prior Year Recconciliation | | | (303,258) |
| | | | | (40,942) |
| 15 | Surcredit as a % of 2015 Billed Revenues: | 55,876,533 | | -0.07% |
| | Note: | | | |
| | Assessable Revenues from Line 8 | 54,120,759 | | |
| | Less: Miscellaneous Revenues not Billed (Ann Rpt pg 306) | (1,755,774) | | |
| | Total Billed Revenues to apply surcharge | 55,876,533 | | |
| (1) | Resale customer is billed at metered rate with all surcharges | | | |

| | | | | | | UNITED WAT | ER WESTCHES | TER RATE DIST | RICT 1 | | | | | |
|--------|---------|---------------|----------|--------------|------------|---------------|-----------------|------------------|-----------|--------------|--------------------------------|-------------------------|--------------|------------|
| | | | | | | Temporary Sta | ite Assessmer | nt Case No. 09-I | M-0311 | | | | | |
| | | | | | | Pul | olic Service La | w §18-a(6) | | | | | | |
| | | | | | | Jul | y 1, 2014 - Jur | | | | | | | |
| | | Monthly | TSA | | | | | | ssessment | | Combined TSA & Gen. Assessment | | | |
| Period | Pre-Tax | Interest Rate | Interest | Recovery | Pmt | Balance | Interest | Recovery | Pmt | Balance | Interest | Recovery | Pmt | Balance |
| | ROR | Net of Tax | | in surcharge | | | | in rates | | (200, 405) | | | d | 151 000 0/ |
| Jun-14 | | | | | | 460,694 | | | | (309,465) | 005.04 | as per or | | 151,229.84 |
| Jul-14 | | 0.59% | 2,728.92 | (61,391.23) | | 402,032.14 | (1,833.11) | (16,444.58) | | (327,742.30) | 895.81 | (77,835.81) | - | 74,289.84 |
| | 10.77% | 0.59% | 2,381.44 | (55,659.59) | | 348,753.99 | (1,941.38) | (16,444.58) | | (346,128.26) | 440.06 | (72,104.17) | * | 2,625.73 |
| Sep-14 | 10.77% | 0.59% | 2,065.84 | (68,016.48) | | 282,803.35 | (2,050.29) | (16,444.58) | | (364,623.13) | 15.55 | (84,461.06) | × | (81,819.78 |
| Oct-14 | 10.77% | 0.59% | 1,675.19 | (61,835.12) | 268,585.60 | 491,229.02 | (2,159.85) | (16,444.58) | 54,994.22 | (328,233.34) | (484.66) | (78,279.70) | 323,579.82 | 162,995.68 |
| Nov-14 | 10.47% | 0.58% | 2,828.74 | (59,919.46) | | 434,138.30 | (1,890.13) | (10,932.17) | | (341,055.64) | 938.61 | (70,851.63) | 2 | 93,082.66 |
| Dec-14 | 10.47% | 0.58% | 2,499.99 | (51,843.60) | 24 | 384,794.69 | (1,963.97) | (10,932.17) | | (353,951.78) | 536.02 | (62,775.77) | 3 | 30,842.93 |
| Jan-15 | 10.47% | 0.58% | 2,215.84 | (43,882.56) | | 343,127.97 | (2,038.23) | (10,932.17) | | (366,922.18) | 177.61 | (54,814.73) | 3 | (23,794.22 |
| Feb-15 | 10.47% | 0.58% | 1,975.90 | (38,284.94) | | 306,818.93 | (2,112.92) | (10,932.17) | | (379,967.27) | (137.02) | (49,217.11) | 2 | (73,148.34 |
| Mar-15 | 10.47% | 0.58% | 1,766.82 | (48,922.27) | 192,452.84 | 452,116.32 | (2,188.04) | (10,932.17) | 57,398.30 | (335,689.18) | (421.22) | (59,854.44) | 249,851.14 | 116,427.14 |
| Apr-15 | 10.47% | 0.58% | 2,603.51 | (45,103.83) | | 409,616.00 | (1,933.07) | (10,932.17) | | (348,554.42) | 670.44 | (56,036.00) | 14 | 61,061.58 |
| May-15 | 10.47% | 0.58% | 2,358.77 | (44,125.04) | | 367,849.73 | (2,007.15) | (10,932,17) | | (361,493.74) | 351.62 | (55,057.21) | 3 | 6,355.99 |
| Jun-15 | 10.47% | 0.58% | 2,118.26 | (55,037.02) | | 314,930.97 | (2,081.66) | (10,932.17) | | (374,507.57) | 36.60 | (65,969.19) | | (59,576.60 |
| Jul-15 | 10.47% | 0.58% | 1,813.53 | (54,558.83) | | 262,185.67 | (2,156.60) | (10,932.17) | | (387,596.34) | (343.07) | (65,491.00) | 20 C | (125,410.6 |
| Aug-15 | 10.47% | 0.58% | 1,509.80 | (54,867.56) | 147,232.00 | 356,059.91 | (2,231.97) | (10,932.17) | 39,576.00 | (361,184.48) | (722.17) | :: (65 <i>,</i> 799.73) | 186,808.00 | (5,124.5) |
| | 10.47% | 0.58% | 2,050.37 | (116,605.64) | | 241,504.64 | (2,079.88) | (10,932.17) | | (374,196.53) | (29.51) | (127,537.81) | ÷., | (132,691.8 |
| • | 10.47% | 0.58% | 1,390.70 | (49,823.63) | | 193,071.71 | (2,154.81) | (10,932.17) | | (387,283.51) | (764.11) | (60,755.80) | 7 | (194,211.8 |
| Nov-15 | | 0.58% | 1,111.80 | (23,254.26) | | 170,929.25 | (2,230.17) | (11,129.67) | | (400,643.35) | (1,118.37) | (34,383.93) | (2) | (229,714.1 |
| | 10.47% | 0.58% | 984.30 | (18,847.60) | | 153,065.95 | (2,307.10) | (11,129.67) | | (414,080.12) | (1,322.80) | (29,977.27) | 3 - 3 | (261,014.1 |
| Jan-16 | 10.47% | 0.58% | 881.43 | (19,289.04) | | 134,658.34 | (2,384.48) | (11,129.67) | | (427,594.27) | (1,503.05) | (30,418.71) | | (292,935.9 |
| | 10.47% | 0.58% | 775.43 | (18,963.36) | | 116,470.41 | (2,462.30) | (11,129.67) | | (441,186.24) | (1,686.87) | (30,093.03) | - | (324,715.8 |
| | 10.47% | 0.58% | 670.69 | (18,679.00) | 110,248.62 | 208,710.72 | (2,540.57) | (11,129.67) | 46,708.73 | (408,147.75) | (1,869.88) | (29,808.67) | 156,957.35 | (199,437.0 |
| | 10.47% | 0.58% | 1,201.86 | (20,383.55) | | 189,529.03 | (2,350.32) | (11,129.67) | | (421,627.74) | (1,148.46) | (31,513.22) | - | (232,098.7 |
| Mav-16 | 1 | 0.58% | 1,091.40 | (21,182.74) | | 169,437.69 | (2,427.94) | (11,129.67) | | (435,185.35) | (1,336.54) | (32,312.41) | 320 | (265,747.6 |
| , | 10.47% | 0.58% | 975.71 | (24,850.22) | | 145,563.18 | (2,506.01) | (11,129.67) | | (448,821.03) | (1,530.30) | (35,979.89) | | (303,257.8 |

UNITED WATER WESTCHESTER - RATE DISTRICT 2

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Temporary State Assessment Surcharge Calculation Based on Calendar Year 2015 Revenues

| Line | l i i i i i i i i i i i i i i i i i i i | | | |
|------------|--|---------------------------------------|-------|--------------|
| <u>No.</u> | Description | Source | | Amount |
| 1 | Total Operating Revenues: | Ann Rprt, pg 300 | \$ | 15,213,874 |
| 2 | Adjustments: | | | |
| 3 | Interstate Revenues | Ann Rprt, pg 300 | | ۲ |
| 4 | Sales for Resale | Ann Rprt, pg 300 | | 9 <u>–5</u> |
| 5 | Uncollectibles | Case 13-W-0564 | 0.34% | (51,727) |
| 6 | Per 18-a | | - | (250,000) |
| 7 | Total Adjustments: | | | (301,727) |
| 8 | Assessable Revenues: | | | 14,912,147 |
| 9 | Assessment Rate: | | | <u>0.73%</u> |
| 10 | Estimated Combined General Assessment and | | | |
| 11 | Temporary State Assessment Amount: | | | 108,859 |
| 12 | General Assessment in Base Rates: | Case 13-W-0564 | - | 36,222 |
| 13 | Estimated Temporary Assessment Surcharge Amount: | | | 72,637 |
| 14 | Prior Year Recconciliation | | | (120,808) |
| | | | | (48,171) |
| 15 | Surcharge as a % of 2015 Billed Revenues: | 15,824,027 | | -0.30% |
| | Note: Assessable Revenues from Line 8 Less: Miscellaneous Revenues not Billed (Ann Rpt pg 306) Total Billed Revenues to apply surcharge | 14,912,147 (911,880) 15,824,027 | | |

| UNITED WATER WESTCHESTER RATE DISTRICT 2 Temporary State Assessment Case No. 09-M-0311 | | | | | | | | | | | | | | | |
|---|---------|---------------|----------|--------------|-----------|------------|--------------------|------------|-----------|--------------|--------------------------------|-------------|-------------------|--------------|--|
| Public Service Law §18-a(6) | | | | | | | | | | | | | | | |
| Jul 1, 2014 - June 30, 2016 | | | | | | | | | | | | | | | |
| · · · · · · · · · · · · · · · · · · · | | Monthly | TSA | | | | General Assessment | | | | Combined TSA & Gen. Assessment | | | | |
| Period | Pre-Tax | Interest Rate | Interest | Recovery | Pmt | Balance | Interest | Recovery | Pmt | Balance | Interest | Recovery | Pmt | Balance | |
| | ROR | Net of Tax | | in surcharge | | | | in rates | | | | | | | |
| Jun-14 | | | | | | 119,796 | | | | (164,996) | | as per or | der → (45,199.77) | | |
| Jul-14 | 10.77% | 0.59% | 709.61 | (21,686.34) | | 98,819.46 | (977.35) | (4,552.50) | | (170,525.81) | (267.74) | (26,238.84) | | (71,706.35) | |
| Aug-14 | 10.77% | 0.59% | 585.36 | (17,448.13) | | 81,956.69 | (1,010.11) | (4,552.50) | | (176,088.42) | (424.75) | (22,000.63) | | (94,131.73) | |
| Sep-14 | 10.77% | 0.59% | 485.47 | (13,511.39) | 79,040.72 | 147,971.49 | (1,043.06) | (4,552.50) | 15,883.06 | (165,800.92) | (557.59) | (18,063.89) | 94,923.78 | (17,829.43) | |
| Oct-14 | 10.77% | 0.59% | 876.51 | (20,868.01) | | 127,979.99 | (982.12) | (4,552.50) | | (171,335.54) | (105.61) | (25,420.51) | | (43,355.55) | |
| Nov-14 | 10.47% | 0.58% | 736.97 | (17,798.64) | | 110,918.32 | (986.64) | (2,922.00) | | (175,244.18) | (249.67) | (20,720.64) | * | (64,325.86) | |
| Dec-14 | 10.47% | 0.58% | 638.72 | (10,068.07) | | 101,488.97 | (1,009.14) | (2,922.00) | | (179,175.32) | (370.42) | (12,990.07) | | (77,686.35) | |
| Jan-15 | 10.47% | 0.58% | 584.42 | (14,807.83) | | 87,265.56 | (1,031.78) | (2,922.00) | | (183,129.10) | (447.36) | (17,729.83) | × | (95,863.54) | |
| Feb-15 | 10.47% | 0.58% | 502.52 | (12,595.61) | 53,447.45 | 128,619.92 | (1,054.55) | (2,922.00) | 15,940.49 | (171,165.16) | (552.03) | (15,517.61) | 69,387.94 | (42,545.24) | |
| Mar-15 | 10.47% | 0.58% | 740.66 | (16,574.07) | | 112,786.51 | (985.65) | (2,922.00) | | (175,072.81) | (244.99) | (19,496.07) | - | (62,286.30) | |
| Apr-15 | 10.47% | 0.58% | 649.48 | (11,901.74) | | 101,534.25 | (1,008.16) | (2,922.00) | | (179,002.97) | (358.68) | (14,823.74) | - | (77,468.72) | |
| May-15 | 10.47% | 0.58% | 584.68 | (12,236.52) | | 89,882.41 | (1,030.79) | (2,922.00) | | (182,955.76) | (446.11) | (15,158.52) | | (93,073.35) | |
| Jun-15 | 10.47% | 0.58% | 517.59 | (15,574.65) | | 74,825.35 | (1,053.55) | (2,922.00) | | (186,931.31) | (535.96) | (18,496.65) | - | (112,105.96) | |
| Jul-15 | 10.47% | 0.58% | 430.88 | (16,338.77) | | 58,917.46 | (1,076.44) | (2,922.00) | | (190,929.75) | (645.56) | (19,260.77) | - | (132,012.29) | |
| Aug-15 | 10.47% | 0.58% | 339.28 | (17,093.43) | 46,026.00 | 88,189.31 | (1,099.47) | (2,922.00) | 12,372.00 | (182,579.22) | (760.19) | (20,015.43) | 58,398.00 | (94,389.91) | |
| | 10.47% | 0.58% | 507.84 | (18,786.11) | | 69,911.04 | (1,051.38) | (2,922.00) | | (186,552.60) | (543.54) | (21,708.11) | 1 | (116,641.56) | |
| Oct-15 | 10.47% | 0.58% | 402.58 | (18,333.76) | | 51,979.86 | (1,074.26) | (2,922.00) | | (190,548.86) | (671.68) | (21,255.76) | 2 | (138,569.00) | |
| Nov-15 | 10.47% | 0.58% | 299.33 | (75.85) | | 52,203.34 | (1,097.28) | (3,066.75) | | (194,712.89) | (797.95) | (3,142.60) | 2 | (142,509.55) | |
| Dec-15 | 10.47% | 0.58% | 300.61 | (67.91) | | 52,436.04 | (1,121.25) | (3,066.75) | | (198,900.89) | (820.64) | (3,134.66) | 2 | (146,464.85) | |
| Jan-16 | 10.47% | 0.58% | 301.95 | (64.87) | | 52,673.12 | (1,145.37) | (3,066.75) | | (203,113.01) | (843.42) | (3,131.62) | 2 | (150,439.89) | |
| Feb-16 | 10.47% | 0.58% | 303.32 | (51.58) | | 52,924.86 | (1,169.63) | (3,066.75) | | (207,349.39) | (866.31) | (3,118.33) | 1.62 | (154,424.53) | |
| Mar-16 | 10.47% | 0.58% | 304.77 | (80.21) | 34,463.48 | 87,612.90 | (1,194.02) | (3,066.75) | 14,601.69 | (197,008.47) | (889.25) | (3,146.96) | 49,065.17 | (109,395.57) | |
| Apr-16 | 10.47% | 0.58% | 504.52 | (54.97) | | 88,062.45 | (1,134.47) | (3,066.75) | | (201,209.69) | (629.95) | (3,121.72) | ie. | (113,147.24) | |
| May-16 | 10.47% | 0.58% | 507.11 | (110.60) | | 88,458.96 | (1,158.67) | (3,066.75) | | (205,435.11) | (651.56) | (3,177.35) | ÷. | (116,976.15) | |
| Jun-16 | 10.47% | 0.58% | 509.39 | (91.17) | | 88,877.18 | (1,183.00) | (3,066.75) | | (209,684.86) | (673.61) | (3,157.92) | | (120,807.68) | |

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