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Via Electronic Filing

June 24, 2016

The Honorable Kathleen H. Burgess, Secretary New York State Public Service Commission Three Empire State Plaza Albany, New York 12223-1350

SUBJECT: SUEZ Water Westchester Inc. Rate District 1 & 2 Temporary State Assessment Surcharge Case No. 09-M-0311 – Implementation of Chapter 59 of the Laws of 2009 Establishing a Temporary Annual Assessment Pursuant to Public Service Law §18-a (6)

Tariff Filing

Dear Secretary Burgess:

Pursuant to the Order implementing revised Temporary State Assessment on June 18, 2014 as well as an Order issued and effective April 17, 2015 which established the Company's deferral balance as of June 30, 2014 for the above-mentioned case, the Company has updated its deferral balance to June 30, 2016. Enclosed for filing via the electronic filing system, please find the following for both Rate District 1 & 2:

Statement No. 3, Statement Type: TSA, <u>Temporary State Assessment</u> <u>Surcharge</u>, effective July 1, 2016.

The supporting schedules are attached for your review.

Very truly yours,

Peiling Lin

Rate Analyst – Regulatory Business

Attachments

- cc: G Prettyman (w/enc)
  - K. Doherty (w/enc.)
  - S. Chung (w/enc.)
  - V. Chalanick, NYSPSC (w/enc)

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## UNITED WATER WESTCHESTER - RATE DISTRICT 1

## Temporary State Assessment Surcharge Calculation Based on Calendar Year 2015 Revenues

Line				
No.	Description	Source		Amount
1	Total Operating Revenues:	Ann Rprt, pg 300	\$	54,605,563
2	Adjustments:			
3	Interstate Revenues	Ann Rprt, pg 300		=
4	Sales for Resale	Ann Rprt, pg 300 <sup>(1)</sup>		¥
5	Uncollectibles	Case 13-W-0539 0.43%		(234,804)
6	Per 18-a		_	(250,000)
7	Total Adjustments:			(484,804)
8	Assessable Revenues:	п		54,120,759
9	Assessment Rate:			<u>0.73%</u>
10	Estimated Combined General Assessment and			
11	Temporary State Assessment Amount:			395,082
12	General Assessment in Base Rates:	Case 13-W-0539	3	132,766
13	Estimated Temporary Assessment Surcharge Amount:			262,316
14	Prior Year Recconciliation			(303,258)
				(40,942)
15	Surcredit as a % of 2015 Billed Revenues:	55,876,533		-0.07%
	Note:			
	Assessable Revenues from Line 8	54,120,759		
	Less: Miscellaneous Revenues not Billed (Ann Rpt pg 306)	(1,755,774)		
	Total Billed Revenues to apply surcharge	55,876,533		
(1)	Resale customer is billed at metered rate with all surcharges			

						UNITED WAT	ER WESTCHES	TER RATE DIST	RICT 1					
						Temporary Sta	ite Assessmer	nt Case No. 09-I	M-0311					
						Pul	olic Service La	w §18-a(6)						
						Jul	y 1, 2014 - Jur							
		Monthly	TSA						ssessment		Combined TSA & Gen. Assessment			
Period	Pre-Tax	Interest Rate	Interest	Recovery	Pmt	Balance	Interest	Recovery	Pmt	Balance	Interest	Recovery	Pmt	Balance
	ROR	Net of Tax		in surcharge				in rates		(200, 405)			d	151 000 0/
Jun-14						460,694				(309,465)	005.04	as per or		151,229.84
Jul-14		0.59%	2,728.92	(61,391.23)		402,032.14	(1,833.11)	(16,444.58)		(327,742.30)	895.81	(77,835.81)	-	74,289.84
	10.77%	0.59%	2,381.44	(55,659.59)		348,753.99	(1,941.38)	(16,444.58)		(346,128.26)	440.06	(72,104.17)	*	2,625.73
Sep-14	10.77%	0.59%	2,065.84	(68,016.48)		282,803.35	(2,050.29)	(16,444.58)		(364,623.13)	15.55	(84,461.06)	×	(81,819.78
Oct-14	10.77%	0.59%	1,675.19	(61,835.12)	268,585.60	491,229.02	(2,159.85)	(16,444.58)	54,994.22	(328,233.34)	(484.66)	(78,279.70)	323,579.82	162,995.68
Nov-14	10.47%	0.58%	2,828.74	(59,919.46)		434,138.30	(1,890.13)	(10,932.17)		(341,055.64)	938.61	(70,851.63)	2	93,082.66
Dec-14	10.47%	0.58%	2,499.99	(51,843.60)	24	384,794.69	(1,963.97)	(10,932.17)		(353,951.78)	536.02	(62,775.77)	3	30,842.93
Jan-15	10.47%	0.58%	2,215.84	(43,882.56)		343,127.97	(2,038.23)	(10,932.17)		(366,922.18)	177.61	(54,814.73)	3	(23,794.22
Feb-15	10.47%	0.58%	1,975.90	(38,284.94)		306,818.93	(2,112.92)	(10,932.17)		(379,967.27)	(137.02)	(49,217.11)	2	(73,148.34
Mar-15	10.47%	0.58%	1,766.82	(48,922.27)	192,452.84	452,116.32	(2,188.04)	(10,932.17)	57,398.30	(335,689.18)	(421.22)	(59,854.44)	249,851.14	116,427.14
Apr-15	10.47%	0.58%	2,603.51	(45,103.83)		409,616.00	(1,933.07)	(10,932.17)		(348,554.42)	670.44	(56,036.00)	14	61,061.58
May-15	10.47%	0.58%	2,358.77	(44,125.04)		367,849.73	(2,007.15)	(10,932,17)		(361,493.74)	351.62	(55,057.21)	3	6,355.99
Jun-15	10.47%	0.58%	2,118.26	(55,037.02)		314,930.97	(2,081.66)	(10,932.17)		(374,507.57)	36.60	(65,969.19)		(59,576.60
Jul-15	10.47%	0.58%	1,813.53	(54,558.83)		262,185.67	(2,156.60)	(10,932.17)		(387,596.34)	(343.07)	(65,491.00)	20 C	(125,410.6
Aug-15	10.47%	0.58%	1,509.80	(54,867.56)	147,232.00	356,059.91	(2,231.97)	(10,932.17)	39,576.00	(361,184.48)	(722.17)	:: (65 <i>,</i> 799.73)	186,808.00	(5,124.5)
	10.47%	0.58%	2,050.37	(116,605.64)		241,504.64	(2,079.88)	(10,932.17)		(374,196.53)	(29.51)	(127,537.81)	÷.,	(132,691.8
•	10.47%	0.58%	1,390.70	(49,823.63)		193,071.71	(2,154.81)	(10,932.17)		(387,283.51)	(764.11)	(60,755.80)	7	(194,211.8
Nov-15		0.58%	1,111.80	(23,254.26)		170,929.25	(2,230.17)	(11,129.67)		(400,643.35)	(1,118.37)	(34,383.93)	( <b>2</b> )	(229,714.1
	10.47%	0.58%	984.30	(18,847.60)		153,065.95	(2,307.10)	(11,129.67)		(414,080.12)	(1,322.80)	(29,977.27)	3 <b>-</b> 3	(261,014.1
Jan-16	10.47%	0.58%	881.43	(19,289.04)		134,658.34	(2,384.48)	(11,129.67)		(427,594.27)	(1,503.05)	(30,418.71)		(292,935.9
	10.47%	0.58%	775.43	(18,963.36)		116,470.41	(2,462.30)	(11,129.67)		(441,186.24)	(1,686.87)	(30,093.03)	-	(324,715.8
	10.47%	0.58%	670.69	(18,679.00)	110,248.62	208,710.72	(2,540.57)	(11,129.67)	46,708.73	(408,147.75)	(1,869.88)	(29,808.67)	156,957.35	(199,437.0
	10.47%	0.58%	1,201.86	(20,383.55)		189,529.03	(2,350.32)	(11,129.67)		(421,627.74)	(1,148.46)	(31,513.22)	-	(232,098.7
Mav-16	1	0.58%	1,091.40	(21,182.74)		169,437.69	(2,427.94)	(11,129.67)		(435,185.35)	(1,336.54)	(32,312.41)	320	(265,747.6
,	10.47%	0.58%	975.71	(24,850.22)		145,563.18	(2,506.01)	(11,129.67)		(448,821.03)	(1,530.30)	(35,979.89)		(303,257.8

## UNITED WATER WESTCHESTER - RATE DISTRICT 2

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## Temporary State Assessment Surcharge Calculation Based on Calendar Year 2015 Revenues

Line	l i i i i i i i i i i i i i i i i i i i			
<u>No.</u>	Description	Source		Amount
1	Total Operating Revenues:	Ann Rprt, pg 300	\$	15,213,874
2	Adjustments:			
3	Interstate Revenues	Ann Rprt, pg 300		۲
4	Sales for Resale	Ann Rprt, pg 300		9 <u>–5</u>
5	Uncollectibles	Case 13-W-0564	0.34%	(51,727)
6	Per 18-a		-	(250,000)
7	Total Adjustments:			(301,727)
8	Assessable Revenues:			14,912,147
9	Assessment Rate:			<u>0.73%</u>
10	Estimated Combined General Assessment and			
11	Temporary State Assessment Amount:			108,859
12	General Assessment in Base Rates:	Case 13-W-0564	-	36,222
13	Estimated Temporary Assessment Surcharge Amount:			72,637
14	Prior Year Recconciliation			(120,808)
				(48,171)
15	Surcharge as a % of 2015 Billed Revenues:	15,824,027		-0.30%
	Note: Assessable Revenues from Line 8 Less: Miscellaneous Revenues not Billed (Ann Rpt pg 306) Total Billed Revenues to apply surcharge	14,912,147 (911,880) 15,824,027		

UNITED WATER WESTCHESTER RATE DISTRICT 2 Temporary State Assessment Case No. 09-M-0311															
Public Service Law §18-a(6)															
Jul 1, 2014 - June 30, 2016															
· · · · · · · · · · · · · · · · · · ·		Monthly	TSA				General Assessment				Combined TSA & Gen. Assessment				
Period	Pre-Tax	Interest Rate	Interest	Recovery	Pmt	Balance	Interest	Recovery	Pmt	Balance	Interest	Recovery	Pmt	Balance	
	ROR	Net of Tax		in surcharge				in rates							
Jun-14						119,796				(164,996)		as per or	der → (45,199.77)		
Jul-14	10.77%	0.59%	709.61	(21,686.34)		98,819.46	(977.35)	(4,552.50)		(170,525.81)	(267.74)	(26,238.84)		(71,706.35)	
Aug-14	10.77%	0.59%	585.36	(17,448.13)		81,956.69	(1,010.11)	(4,552.50)		(176,088.42)	(424.75)	(22,000.63)		(94,131.73)	
Sep-14	10.77%	0.59%	485.47	(13,511.39)	79,040.72	147,971.49	(1,043.06)	(4,552.50)	15,883.06	(165,800.92)	(557.59)	(18,063.89)	94,923.78	(17,829.43)	
Oct-14	10.77%	0.59%	876.51	(20,868.01)		127,979.99	(982.12)	(4,552.50)		(171,335.54)	(105.61)	(25,420.51)		(43,355.55)	
Nov-14	10.47%	0.58%	736.97	(17,798.64)		110,918.32	(986.64)	(2,922.00)		(175,244.18)	(249.67)	(20,720.64)	*	(64,325.86)	
Dec-14	10.47%	0.58%	638.72	(10,068.07)		101,488.97	(1,009.14)	(2,922.00)		(179,175.32)	(370.42)	(12,990.07)		(77,686.35)	
Jan-15	10.47%	0.58%	584.42	(14,807.83)		87,265.56	(1,031.78)	(2,922.00)		(183,129.10)	(447.36)	(17,729.83)	×	(95,863.54)	
Feb-15	10.47%	0.58%	502.52	(12,595.61)	53,447.45	128,619.92	(1,054.55)	(2,922.00)	15,940.49	(171,165.16)	(552.03)	(15,517.61)	69,387.94	(42,545.24)	
Mar-15	10.47%	0.58%	740.66	(16,574.07)		112,786.51	(985.65)	(2,922.00)		(175,072.81)	(244.99)	(19,496.07)	-	(62,286.30)	
Apr-15	10.47%	0.58%	649.48	(11,901.74)		101,534.25	(1,008.16)	(2,922.00)		(179,002.97)	(358.68)	(14,823.74)	-	(77,468.72)	
May-15	10.47%	0.58%	584.68	(12,236.52)		89,882.41	(1,030.79)	(2,922.00)		(182,955.76)	(446.11)	(15,158.52)		(93,073.35)	
Jun-15	10.47%	0.58%	517.59	(15,574.65)		74,825.35	(1,053.55)	(2,922.00)		(186,931.31)	(535.96)	(18,496.65)	-	(112,105.96)	
Jul-15	10.47%	0.58%	430.88	(16,338.77)		58,917.46	(1,076.44)	(2,922.00)		(190,929.75)	(645.56)	(19,260.77)	-	(132,012.29)	
Aug-15	10.47%	0.58%	339.28	(17,093.43)	46,026.00	88,189.31	(1,099.47)	(2,922.00)	12,372.00	(182,579.22)	(760.19)	(20,015.43)	58,398.00	(94,389.91)	
	10.47%	0.58%	507.84	(18,786.11)		69,911.04	(1,051.38)	(2,922.00)		(186,552.60)	(543.54)	(21,708.11)	1	(116,641.56)	
Oct-15	10.47%	0.58%	402.58	(18,333.76)		51,979.86	(1,074.26)	(2,922.00)		(190,548.86)	(671.68)	(21,255.76)	2	(138,569.00)	
Nov-15	10.47%	0.58%	299.33	(75.85)		52,203.34	(1,097.28)	(3,066.75)		(194,712.89)	(797.95)	(3,142.60)	2	(142,509.55)	
Dec-15	10.47%	0.58%	300.61	(67.91)		52,436.04	(1,121.25)	(3,066.75)		(198,900.89)	(820.64)	(3,134.66)	2	(146,464.85)	
Jan-16	10.47%	0.58%	301.95	(64.87)		52,673.12	(1,145.37)	(3,066.75)		(203,113.01)	(843.42)	(3,131.62)	2	(150,439.89)	
Feb-16	10.47%	0.58%	303.32	(51.58)		52,924.86	(1,169.63)	(3,066.75)		(207,349.39)	(866.31)	(3,118.33)	1.62	(154,424.53)	
Mar-16	10.47%	0.58%	304.77	(80.21)	34,463.48	87,612.90	(1,194.02)	(3,066.75)	14,601.69	(197,008.47)	(889.25)	(3,146.96)	49,065.17	(109,395.57)	
Apr-16	10.47%	0.58%	504.52	(54.97)		88,062.45	(1,134.47)	(3,066.75)		(201,209.69)	(629.95)	(3,121.72)	ie.	(113,147.24)	
May-16	10.47%	0.58%	507.11	(110.60)		88,458.96	(1,158.67)	(3,066.75)		(205,435.11)	(651.56)	(3,177.35)	÷.	(116,976.15)	
Jun-16	10.47%	0.58%	509.39	(91.17)		88,877.18	(1,183.00)	(3,066.75)		(209,684.86)	(673.61)	(3,157.92)		(120,807.68)	

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