

August 5, 2016

VIA ELECTRONIC FILING

Honorable Kathleen H. Burgess Secretary New York State Public Service Commission Three Empire State Plaza Albany, NY 12223-1350

> Re: Case 14-M-0224 - Proceeding on Motion of the Commission to Enable Community Choice Aggregation Programs

Dear Secretary Burgess:

The enclosed tariff leaves, issued by New York State Electric & Gas Corporation ("NYSEG") and Rochester Gas and Electric Corporation ("RG&E"), (together the "Companies") are transmitted for filing in compliance with the requirements of the New York Public Service Commission's (the "Commission") <u>Order Authorizing Framework for Community Choice</u> <u>Aggregation Opt-Out Program</u>, issued and effective on April 21, 2016 (the "Order"), in the above referenced proceedings. The tariffs are proposed to become effective on December 1, 2016.

P.S.C. No. 88 - Gas, Schedule for Gas Service

Leaf No. 50.34, Revision 0 Leaf No. 50.35, Revision 0 Leaf No. 50.36, Revision 0 Leaf No. 50.37, Revision 0 Leaf No. 50.38, Revision 0

P.S.C. No. 120 - Electric, Schedule for Electric Service

Leaf No. 117.39.26, Revision 0 Leaf No. 117.39.27, Revision 0 Leaf No. 117.39.28, Revision 0 Leaf No. 117.39.29, Revision 0 Leaf No. 117.39.30, Revision 0





89 East Avenue, Rochester, NY 14649

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P.S.C. No. 16 - Gas, Schedule for Gas Service Leaf No. 127.46.5, Revision 0 Leaf No. 127.46.6, Revision 0 Leaf No. 127.46.7, Revision 0 Leaf No. 127.46.8, Revision 0 Leaf No. 127.46.9, Revision 0

P.S.C. No. 19 – Electric, Schedule for Electric Service

Leaf No. 160.39.20, Revision 0 Leaf No. 160.39.21, Revision 0 Leaf No. 160.39.22, Revision 0 Leaf No. 160.39.23, Revision 0 Leaf No. 160.39.24, Revision 0

Purpose of Filing

The Companies are filing tariff amendments in compliance with Ordering Clause No. 4 to charge fees for providing aggregated and customer-specific data to municipalities, municipal contractors, and ESCOs under the terms and timeframes described in the Order.¹

The Companies are proposing to charge a fee to the Community Choice Aggregator ("CCA") for services the Companies are providing. The fees shall include a fixed charge to recover estimated costs associated with the Companies' efforts to provide the data and administer the specific CCA project plus a market value for providing the services. In no instance shall the price be less than the actual costs to provide the services.

The Companies' cost for providing the services will be calculated as a dollar value per eligible customer to participate in the proposed CCA program and will include costs for:

- a. Information Technology (IT) and IT support services for information system changes, queries, EDI processing, and some retail access block script running for Low Income customers; and
- b. Retail Access and CCA administration for administrative oversight of the CCA Program, EDI testing and setup, and document management; and
- c. Customer Service support, including addressing incremental customer calls to utility call centers resulting from CCAs and ESCOs contacting customers during the opt-out and enrollment periods; and
- d. Legal costs associated with review of individual CCA Implementation Plans and management and review of Data Services Agreements; and
- e. Market value fee for providing the services as may be mutually agreed upon by the Companies and the CCA Administrator.

The Companies will file the fee for the fixed charges (on a per customer basis) with the Commission on not less than 30 days' notice.

¹ On June 6, 2016, the Commission granted an extension until August 5, 2016 to submit the compliance filing.

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Publication

The Companies respectfully request the requirements of Section 66(12)(b) of the Public Service Law regarding newspaper publication be waived as these provisions pertain to CCA Administrators, including outreach requirements, and not the majority of the Companies' customers.

Company Contacts

If there are any questions concerning this filing, please call Kathy Grande at (585)771-4514 or me at (607)762-8710.

Very truly yours,

Jou A lole

Lori A. Cole Manager - Regulatory & Tariffs Rates and Regulatory Economics Department

Enclosures