

PEILING LIN
Rate Analyst

UNITED WATER
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Via Electronic Filing

October 7, 2015

The Honorable Kathleen H. Burgess, Secretary
New York State Public Service Commission
Three Empire State Plaza
Albany, New York 12223-1350

SUBJECT: United Water Westchester Inc. Rate District 1 & 2
Temporary State Assessment Surcharge
Case No. 09-M-0311 – Implementation of Chapter 59 of the Laws of 2009
Establishing a Temporary Annual Assessment Pursuant to Public Service Law
§18-a (6)

Tariff Filing

Dear Secretary Burgess:

Pursuant to the Order implementing revised Temporary State Assessment on June 18, 2014 as well as an Order issued and effective April 17, 2015 which established the Company's deferral balance as of June 30, 2014 for the above-mentioned case, the Company has updated its deferral balance to June 30, 2015. The Company and Staff have held discussions and Staff has reviewed the updated balance and found it to be reasonable. As a result, enclosed for filing via the electronic filing system, please find the following for both Rate District 1 & 2:

Statement No. 2, Statement Type: TSA, Temporary State Assessment Surcharge, effective October 9, 2015

The supporting schedules are attached for your review.

Very truly yours,

A handwritten signature in blue ink, appearing to read 'Peiling Lin', is written over a light blue circular background.

Peiling Lin
Rate Analyst – Regulatory Business

Attachments

cc: G. Prettyman (w/enc)
K. Doherty (w/ enc.)
S. Chung (w/enc.)
V. Chalanick, NYSPSC (w/enc)

UNITED WATER WESTCHESTER - RATE DISTRICT 1

Temporary State Assessment Surcharge Calculation
Based on Calendar Year 2014 Revenues

Line No.	Description	Source	Amount
1	Total Operating Revenues:	<i>Ann Rprt, pg 300</i>	\$ 52,055,857
2	Adjustments:		
3	Interstate Revenues	<i>Ann Rprt, pg 300</i>	-
4	Sales for Resale	<i>Ann Rprt, pg 300</i> ⁽¹⁾	-
5	Uncollectibles	<i>Case 13-W-0539</i> 0.43%	(223,840)
6	Per 18-a		<u>(250,000)</u>
7	Total Adjustments:		(473,840)
8	Assessable Revenues:		51,582,017
9	Assessment Rate:		<u>1.00%</u>
10	Estimated Combined General Assessment and		
11	Temporary State Assessment Amount:		515,820
12	General Assessment in Base Rates:	<i>Case 13-W-0539</i>	<u>131,186</u>
13	Estimated Temporary Assessment Surcharge Amount:		384,634
14	Prior Year Reconciliation		<u>(132,692)</u>
			251,942
15	Surcharge as a % of 2014 Billed Revenues:	51,302,373	0.49%
	Note:		
	Assessable Revenues from Line 8	51,582,017	
	Less: Miscellaneous Revenues not Billed (Ann Rpt pg 306)	<u>279,644</u>	
	Total Billed Revenues to apply surcharge	51,302,373	
(1)	Resale customer is billed at metered rate with all surcharges		

UNITED WATER WESTCHESTER RATE DISTRICT 1
Temporary State Assessment Case No. 09-M-0311
Public Service Law §18-a(6)
Jul 1, 2014 - September 30, 2015

Period	Pre-Tax ROR	Monthly Interest Rate Net of Tax	TSA				General Assessment				Combined TSA & Gen. Assessment			
			Interest	Recovery in surcharge	Pmt	Balance	Interest	Recovery in rates	Pmt	Balance	Interest	Recovery	Pmt	Balance
Jun-14						460,694				(309,465)		as per order →		151,229.84
Jul-14	10.77%	0.59%	2,728.92	(61,391.23)		402,032.14	(1,833.11)	(16,444.58)		(327,742.30)	895.81	(77,835.81)	-	74,289.84
Aug-14	10.77%	0.59%	2,381.44	(55,659.59)		348,753.99	(1,941.38)	(16,444.58)		(346,128.26)	440.06	(72,104.17)	-	2,625.73
Sep-14	10.77%	0.59%	2,065.84	(68,016.48)		282,803.35	(2,050.29)	(16,444.58)		(364,623.13)	15.55	(84,461.06)	-	(81,819.78)
Oct-14	10.77%	0.59%	1,675.19	(61,835.12)	268,585.60	491,229.02	(2,159.85)	(16,444.58)	54,994.22	(328,233.34)	(484.66)	(78,279.70)	323,579.82	162,995.68
Nov-14	10.47%	0.58%	2,828.74	(59,919.46)		434,138.30	(1,890.13)	(10,932.17)		(341,055.64)	938.61	(70,851.63)	-	93,082.66
Dec-14	10.47%	0.58%	2,499.99	(51,843.60)		384,794.69	(1,963.97)	(10,932.17)		(353,951.78)	536.02	(62,775.77)	-	30,842.91
Jan-15	10.47%	0.58%	2,215.84	(43,882.56)		343,127.97	(2,038.23)	(10,932.17)		(366,922.18)	177.61	(54,814.73)	-	(23,794.21)
Feb-15	10.47%	0.58%	1,975.90	(38,284.94)		306,818.93	(2,112.92)	(10,932.17)		(379,967.27)	(137.02)	(49,217.11)	-	(73,148.34)
Mar-15	10.47%	0.58%	1,766.82	(48,922.27)	192,452.84	452,116.32	(2,188.04)	(10,932.17)	57,398.30	(335,689.18)	(421.22)	(59,854.44)	249,851.14	116,427.14
Apr-15	10.47%	0.58%	2,603.51	(45,103.83)		409,616.00	(1,933.07)	(10,932.17)		(348,554.42)	670.44	(56,036.00)	-	61,061.58
May-15	10.47%	0.58%	2,358.77	(44,125.04)		367,849.73	(2,007.15)	(10,932.17)		(361,493.74)	351.62	(55,057.21)	-	6,355.99
Jun-15	10.47%	0.58%	2,118.26	(55,037.02)		314,930.97	(2,081.66)	(10,932.17)		(374,507.57)	36.60	(65,969.19)	-	(59,576.60)
Jul-15	10.47%	0.58%	1,813.53	(54,558.83)		262,185.67	(2,156.60)	(10,932.17)		(387,596.34)	(343.07)	(65,491.00)	-	(125,410.67)
Aug-15	10.47%	0.58%	1,509.80	(54,867.56)	147,232.00	356,059.91	(2,231.97)	(10,932.17)	39,576.00	(361,184.48)	(722.17)	(65,799.73)	186,808.00	(5,124.57)
Sep-15	10.47%	0.58%	2,050.37	(116,605.64)		241,504.64	(2,079.88)	(10,932.17)		(374,196.53)	(29.51)	(127,537.81)	-	(132,691.89)

UNITED WATER WESTCHESTER - RATE DISTRICT 2

Temporary State Assessment Surcharge Calculation
Based on Calendar Year 2014 Revenues

<u>Line</u> <u>No.</u>	Description	Source	Amount
1	Total Operating Revenues:	<i>Ann Rprt, pg 300</i>	\$ 15,685,924
2	Adjustments:		
3	Interstate Revenues	<i>Ann Rprt, pg 300</i>	-
4	Sales for Resale	<i>Ann Rprt, pg 300</i>	-
5	Uncollectibles	<i>Case 13-W-0564</i>	0.34% (53,332)
6	Per 18-a		(250,000)
7	Total Adjustments:		(303,332)
8	Assessable Revenues:		15,382,592
9	Assessment Rate:		<u>1.00%</u>
10	Estimated Combined General Assessment and		
11	Temporary State Assessment Amount:		153,826
12	General Assessment in Base Rates:	<i>Case 13-W-0564</i>	<u>35,064</u>
13	Estimated Temporary Assessment Surcharge Amount:		118,762
14	Prior Year Reconciliation		<u>(116,642)</u>
			2,120
15	Surcharge as a % of 2014 Billed Revenues:	14,901,471	0.01%
Note:			
	Assessable Revenues from Line 8	15,382,592	
	Less: Miscellaneous Revenues not Billed (Ann Rpt pg 306)	<u>481,121</u>	
	Total Billed Revenues to apply surcharge	14,901,471	

UNITED WATER WESTCHESTER RATE DISTRICT 2
Temporary State Assessment Case No. 09-M-0311
Public Service Law §18-a(6)
Jul 1, 2014 - September 30, 2015

Period	Pre-Tax ROR	Monthly Interest Rate Net of Tax	TSA				General Assessment				Combined TSA & Gen. Assessment			
			Interest	Recovery in surcharge	Pmt	Balance	Interest	Recovery in rates	Pmt	Balance	Interest	Recovery	Pmt	Balance
Jun-14						119,796				(164,996)	as per order →			(45,199.77)
Jul-14	10.77%	0.59%	709.61	(21,686.34)		98,819.46	(977.35)	(4,552.50)		(170,525.81)	(267.74)	(26,238.84)	-	(71,706.35)
Aug-14	10.77%	0.59%	585.36	(17,448.13)		81,956.69	(1,010.11)	(4,552.50)		(176,088.42)	(424.75)	(22,000.63)	-	(94,131.73)
Sep-14	10.77%	0.59%	485.47	(13,511.39)	79,040.72	147,971.49	(1,043.06)	(4,552.50)	15,883.06	(165,800.92)	(557.59)	(18,063.89)	94,923.78	(17,829.43)
Oct-14	10.77%	0.59%	876.51	(20,868.01)		127,979.99	(982.12)	(4,552.50)		(171,335.54)	(105.61)	(25,420.51)	-	(43,355.55)
Nov-14	10.47%	0.58%	736.97	(17,798.64)		110,918.32	(986.64)	(2,922.00)		(175,244.18)	(249.67)	(20,720.64)	-	(64,325.86)
Dec-14	10.47%	0.58%	638.72	(10,068.07)		101,488.97	(1,009.14)	(2,922.00)		(179,175.32)	(370.42)	(12,990.07)	-	(77,686.35)
Jan-15	10.47%	0.58%	584.42	(14,807.83)		87,265.56	(1,031.78)	(2,922.00)		(183,129.10)	(447.36)	(17,729.83)	-	(95,863.54)
Feb-15	10.47%	0.58%	502.52	(12,595.61)	53,447.45	128,619.92	(1,054.55)	(2,922.00)	15,940.49	(171,165.16)	(552.03)	(15,517.61)	69,387.94	(42,545.24)
Mar-15	10.47%	0.58%	740.66	(16,574.07)		112,786.51	(985.65)	(2,922.00)		(175,072.81)	(244.99)	(19,496.07)	-	(62,286.30)
Apr-15	10.47%	0.58%	649.48	(11,901.74)		101,534.25	(1,008.16)	(2,922.00)		(179,002.97)	(358.68)	(14,823.74)	-	(77,468.72)
May-15	10.47%	0.58%	584.68	(12,236.52)		89,882.41	(1,030.79)	(2,922.00)		(182,955.76)	(446.11)	(15,158.52)	-	(93,073.35)
Jun-15	10.47%	0.58%	517.59	(15,574.65)		74,825.35	(1,053.55)	(2,922.00)		(186,931.31)	(535.96)	(18,496.65)	-	(112,105.96)
Jul-15	10.47%	0.58%	430.88	(16,338.77)		58,917.46	(1,076.44)	(2,922.00)		(190,929.75)	(645.56)	(19,260.77)	-	(132,012.29)
Aug-15	10.47%	0.58%	339.28	(17,093.43)	46,026.00	88,189.31	(1,099.47)	(2,922.00)	12,372.00	(182,579.22)	(760.19)	(20,015.43)	58,398.00	(94,389.91)
Sep-15	10.47%	0.58%	507.84	(18,786.11)		69,911.04	(1,051.38)	(2,922.00)		(186,552.60)	(543.54)	(21,708.11)	-	(116,641.56)