



Philip A. DeCicco
Deputy General Counsel and
Vice President

July 31, 2020

VIA ELECTRONIC FILING

Honorable Michelle Phillips, Secretary
New York State Public Service Commission
Office of the Secretary, 19th Floor
Three Empire State Plaza
Albany, New York 12223

Dear Secretary Phillips:

Enclosed for filing on behalf of Niagara Mohawk Power Corporation d/b/a National Grid ("Niagara Mohawk" or "Company") are the following materials that are being submitted in accordance with the New York State Public Service Commission's ("Commission") regulations:

1. Revised tariff leaves listed in Attachment 1 for P.S.C. 220 and 214 – Electricity and 219 – Gas to be effective September 1, 2020. Although the revised tariff leaves are proposed to become effective September 1, 2020, Niagara Mohawk understands that, after customary suspension, they will likely become effective July 1, 2021.¹
2. *Pro forma* statements listed in Attachment 2 for the Company's Delivery Service Adjustment, Statement of Load Factor Ratios, Statement of Revenue Decoupling, Monthly Cost of Gas Statement, Merchant Function Charge Statement, and Statement of Weather Normalization Degree Day Factors and Base Loads.
3. The public version² of the prepared written testimony and exhibits of the Company's witnesses, as identified in Attachment 3, in support of the proposed rate and tariff changes, which constitute Niagara Mohawk's direct case in support of its electric and gas filings.
4. A proposed notice of filings suitable for use under the State Administrative Act as set forth in Attachment 4.
5. The Commission's Method of Service for providing consent to receive all orders in this proceeding by electronic mail as set forth in Attachment 5.

¹ The revised tariff leaves are being transmitted electronically to the Commission contemporaneously with this filing. Copies are included with this transmittal letter.

² The written testimony and exhibits of the Company's Gas Supply, Electric Vehicle, and AMI Panels contain confidential information. The Company understands that Administrative Law Judges will be assigned to these proceedings and will establish a procedure for addressing confidential materials.

The Proposed Rate Filings

Niagara Mohawk is very aware of the devastating health and economic impacts the COVID-19 pandemic is having on our customers in New York. Many of our affected customers are struggling to keep current on their bills as the measures necessary to stop the spread of the virus have caused a significant economic downturn in the region. In recognition of these unprecedented circumstances, the Company immediately suspended all collections activities, deferred scheduled rate increases, executed new customer assistance programs, and delayed these rate filings for three months. But our current rate plans are expiring in less than a year and, as a provider of essential electric and gas services to more than 2.2 million customers, we must file for new rate plans that ensure the continued safe and reliable operations of our energy networks. At the same time, given our role as one of the largest energy companies in New York, we must also take prudent steps to advance the State's important energy policy goals to address the threat of climate change, such those embodied in the Climate Leadership and Community Protection Act ("CLCPA").

To be clear, the Company is committed to establishing rate plans that continue a decade of stable/lower electric and gas bills for customers – and, specifically, minimizing rate increases as our customers and communities emerge from the pandemic. The challenge is developing proposals that preserve affordability for customers, particularly our low and moderate income customers, while at the same time making the investments needed to maintain our networks, promote clean energy, and support economic growth across upstate New York. We believe this balance can be achieved by combining ambitious infrastructure modernization proposals with aggressive cost savings measures, as outlined in these filings. But we also have the ability to further mitigate customer bills through a multi-year rate settlement. To that end, the Company is committed to working with the Department of Public Service Staff ("Staff") and other parties to achieve a settlement that would allow us to shape rates and utilize deferral balances, especially in the Rate Year when the economic impacts of pandemic are still likely to be severe. The Company believes this outcome will benefit our customers impacted by the pandemic while advancing important energy policies.

In these filings, Niagara Mohawk is proposing to adjust its base electric and gas delivery rates by \$100.4 million and \$41.8 million, respectively, in the twelve months ending June 30, 2022 ("Rate Year").³ For the Company's electric business, this equates to increases in delivery revenue of 4.9 percent and 3.2 percent of total revenue. For the gas business, this equates to an increase of 9.8 percent of total delivery revenue and 5.2 percent of total revenue for the Company's gas operations.⁴ To facilitate a potential multi-year settlement, the Company is submitting comprehensive financial information for two additional Data Years ending June 30, 2023, and June 30, 2024, for purposes of reaching a multi-year settlement.

³ The Company's filings utilize calendar year 2019 as the Historic Test Year. On May 29, 2020, Niagara Mohawk filed a petition with the Commission seeking waiver of the Commission's Statement of Policy on Test Periods In Major Rate Proceedings to permit the Company to use calendar year 2019 as the Historic Test Year in these proceedings.

⁴ The percent increases for total revenue are based on total system sales, which include commodity costs of ESCO served customers.

The table below sets forth the forecast bill impacts for typical residential electric and gas heating customers:

Average Usage Customer	Monthly Usage	Delivery Increase	Total Bill	Total \$/Month
Electric SC-1	600 kWh	6.03%	4.03%	\$3.43
Gas SC-1	82 Therms	9.49%	6.01%	\$4.53

The proposed base rate increases are largely driven by increased plant costs and incremental operations and maintenance (“O&M”) expense of \$199 million and \$82 million for electric and gas, respectively, which are needed to maintain core operations and advance clean energy initiatives. We have offset these increases by reducing O&M expenses where we can through cost management and efficiencies, as well as a lower weighted average cost of capital enabled by the Company’s ability to borrow on favorable terms. Collectively, these measures reduced the revenue requirement by approximately \$37 million for electric and \$13 million for gas. The Company is also proposing to return more than \$100 million of deferred tax savings in the Rate Year.

A significant portion of the Company’s request for rate relief for the electric business is attributable to the fact that forecast electric revenues declined as a result of reduced electric usage by commercial and industrial customers during the pandemic. Had the Company not filed for new rates, approximately \$40 million of the anticipated reductions in commercial and industrial sales volumes in the Rate Year would have been recovered through the normal operation of the Company’s revenue decoupling mechanism. Thus, the Company’s proposed Rate Year increase for its electric business is fairly viewed as an increase of \$60.4 million, after considering the impact of the lower sales.

Key Priorities of the Rate Filings

#1: Addressing the Impacts of the COVID-19 Pandemic

The Company approached these rate filings with the goal of helping our customers manage their bills. As described in the testimony of the Policy and Revenue Requirements Panels, these measures to control costs include:

- Modifying our gas depreciation proposals to delay the proposed commencement of a more rapid amortization of leak prone pipe that is being replaced from the Rate Year to Data Year 1, and the commencement of the proposed recovery of a depreciation reserve deficiency from the Rate Year to Date Year 2. These modifications reduced Rate Year depreciation expense by approximately \$18 million.
- Reducing scheduled management wage increase, resulting in cost reductions of \$1.6 million.
- Carefully reviewing and modifying the capital spending programs for both the gas and electric businesses. The result of these efforts was that near-term spending in the Rate Year was reduced by tens of millions of dollars.

- Accelerating the return to customers of unprotected excess deferred income taxes resulting from the passage of the Tax Cuts and Jobs Act of 2017.
- Reflecting efficiency savings over the three-year term of the proposed rate plan of more than \$140 million through proactive efforts undertaken by National Grid to reduce costs for customers. These savings far exceed those typically included in a one-year rate filing and serve to benefit customers during this challenging time.
- Issuing \$1.1 billion of new long-term debt, including \$600 million of so-called “green-bonds” that took advantage of historic lows in bond yields for companies with strong credit ratings, reducing the projected cost of debt by an estimated 41 basis points and resulting in approximately \$16 million of annual interest savings.

#2: Delivering on New York’s Ambitious Climate Goals as Outlined in the Climate Leadership and Community Protection Act

In addition to aggressive energy efficiency programs that are aligned with the Commission’s New Efficiency New York Order, the Company’s filing includes proposals that support reliable electric service while advancing the decarbonization and renewable targets set forth in the CLCPA, including:

- *Deploying Advanced Metering Infrastructure (“AMI”).* AMI is a foundational grid modernization investment that will enhance system efficiency, empower customers to take control of their energy use, and accelerate the transition to a modern decarbonized electric grid.
- *Multi-value Transmission Projects to Unlock Renewable Projects.* These transmission system projects will provide cost effective solutions designed to improve system reliability while enabling the delivery of renewable energy to help achieve the goals of the CLCPA and the Accelerated Renewable Energy Growth and Community Benefit Act.
- *Investments in Energy Storage and Other Non-wires Alternatives.* Delivering energy storage projects in supporting of the Commission’s December 13, 2018 “Order Establishing Energy Storage Goal and Deployment Policy,” including a 2MW/3MWh energy storage asset at the Company’s Buffalo Station 22.
- *Innovative Projects to Deploy Clean Energy Solutions.* These projects include the Syracuse B26 project which would develop affordable, new, all-electric, net-zero emissions, multi-family buildings in Syracuse; the Active Resources Integration project, which would build on the Buffalo Niagara Medical Center Distributed Services Platform project, will test the ability to increase the amount of solar energy that can be integrated into the Company’s system in constrained areas by means of development of curtailment capabilities and a distributed generation-flexible local marketplace; and a Distributed Communities project intended to improve integration of Distributed Energy Resources to the electric grid.
- *Electric Vehicle Transportation.* Proposals designed to (i) increase the deployment of electric vehicle charging infrastructure in a manner that will support an exponential increase in electric vehicles to 850,000 by 2025; (ii) offer a smart charging monthly subscription plan aimed at simplifying the billing arrangements for residential customers

transitioning to electric vehicles while also incentivizing them to charge electric vehicles at off-peak hours; and (iii) assist fleet customers in planning for and deploying charging infrastructure to support fleet electrification.

For our gas business, the Company has developed a four-prong strategy for driving meaningful evolution in the gas industry:

- *Reducing Methane Emissions in Gas Distribution Systems 60 Percent by 2035.* The Company is proposing a number of initiatives aimed at reducing emissions through targeted main replacements, identifying, prioritizing and repairing large system leaks, and implementing work procedures to further reduce emissions.
- *Enabling Customers to Sustainably Meet Their Heating Needs.* The Company has developed a suite of programs, projects, and demonstration projects aimed at empowering and enabling customers to take control of their energy usage by providing flexibility for customers to choose how to meet their energy needs while also reducing carbon emissions.
- *Integrating Renewables into the Gas Network.* As discussed by the Future of Heat Panel, the Company has also developed several proposals for integrating Renewable Natural Gas into its gas network to reduce the Company's carbon footprint.
- *Developing Performance-Based Incentives and Revenue Sharing.* As discussed by the Customer Energy Panel, the Company is proposing several Earnings Adjustment Mechanisms and the Platform Service Revenue opportunities to align the Company's incentives with the State's energy policy goals.

#3: Upgrading Infrastructure and Enhancing Safety

- The Company has planned significant investments to continue to improve reliability and resiliency of the electric system. These investments include the replacement of aging infrastructure with modern equipment. The Company also has planned investments to modernize and improve operations, including investments in operational telecommunications to improve communications capabilities by and between the Company's equipment and to allow the Company to retrieve and provide granular data to improve system operation. The Company also has planned investments to modernize control and monitoring equipment that will improve fault location, isolation, and restoration capabilities.
- For the gas business, the primary objective of the Company's gas capital investment program is maintaining safe and reliable delivery service, continuing the accelerated removal of leak prone pipe, reinforcing the gas distribution system to maintain reliability, and reducing methane emissions from system leaks.

#4: Improving Customer Service

- Implementing a new Customer Information System that will support new customer programs and rate options, incorporate digital and emerging technology solutions, improve customer choice, and reduce billing issues.
- Providing further support for energy efficiency and economic development.
- Enhancing our energy affordability programs and services.

\$50 million in COVID-19 Relief for Upstate New York Customers

The Company is committed to assisting our customers impacted by the pandemic. Outside of this rate filing, Niagara Mohawk is proposing to deploy up to \$50 million of deferred economic development and low income funds to provide immediate COVID-19 relief to support our most economically vulnerable residential customers, as well as businesses that are struggling because of the financial impact of the pandemic. The Company will work with Staff, customer advocates, and other stakeholders to determine how best to allocate the financial assistance to those most in need across the upstate New York service area. With the benefit of that feedback, the Company will file a petition seeking permission to implement this important customer assistance plan.

Summary

In advance of the filings, Niagara Mohawk held outreach meetings with customers, state agencies, and economic and community partners. Using the knowledge gained from those meetings, the Company designed these filings to recognize the impact of the pandemic and address the priorities shared among the Company, customers, and key stakeholders.

Indeed, the Company's rate filings present comprehensive, measured proposals designed to (i) maintain affordability and mitigate customer bill impacts during the pandemic, (ii) fund capital programs necessary to modernize the Company's electric and gas infrastructure and replace aging and outdated information technology systems, (iii) progress toward the goals of CLCPA, (iv) promote increased energy efficiency and improve the customer experience, and (v) preserve the Company's credit quality and afford it an opportunity to earn a reasonable rate of return. The proposed rate structures will enable the Company to provide safe and reliable electric and gas service and continue to meet the expectations of its customers.

Newspaper publication will be made in accordance with §66(12) of the Public Service Law and 16 NYCRR §720-8.

Respectfully submitted,

/s/ Philip A. DeCicco

Philip A. DeCicco

Attachments

cc: New York State Department of State Utility Intervention Unit

The tariff leaves submitted for filing are as follows:

PSC No. 220 Electricity

Twentieth Revised Leaf No. 3
Fifth Revised Leaf No. 77
Fifth Revised Leaf No. 78
Fifth Revised Leaf No. 87
Fourth Revised Leaf No. 113
First Revised Leaf No. 114
First Revised Leaf No. 115
Second Revised Leaf No. 120.1
Third Revised Leaf No. 121
Fourth Revised Leaf No. 151
Tenth Revised Leaf No. 176
Seventh Revised Leaf No. 194.6
Tenth Revised Leaf No. 194.10
First Revised Leaf No. 199.5
First Revised Leaf No. 202.3.1
Seventh Revised Leaf No. 213
Third Revised Leaf No. 220.1
Fourth Revised Leaf No. 221.1
Eleventh Revised Leaf No. 222
Tenth Revised Leaf No. 222.1
Tenth Revised Leaf No. 223
Sixth Revised Leaf No. 224
Fourth Revised Leaf No. 226
First Revised Leaf No. 226.1
Tenth Revised Leaf No. 235
Thirteenth Revised Leaf No. 263.1
Original Leaf No. 263.1.1
Sixth Revised Leaf No. 263.3
Tenth Revised Leaf No. 263.5
First Revised Leaf No. 263.5.1
Twelfth Revised Leaf No. 263.7
Sixth Revised Leaf No. 263.11
Sixth Revised Leaf No. 263.21
Fifth Revised Leaf No. 325
Fifth Revised Leaf No. 332
Fifth Revised Leaf No. 342
Nineteenth Revised Leaf No. 349
Sixteenth Revised Leaf No. 350

Thirteenth Revised Leaf No. 355
Third Revised Leaf No. 356
Original Leaf No. 356.1
Twenty-Seventh Revised Leaf No. 359
Third Revised Leaf No. 368
Nineteenth Revised Leaf No. 370
Twenty-Fifth Revised Leaf No. 371
First Revised Leaf No. 372
Original Leaf No. 372.1
Third Revised Leaf No. 377.1
First Revised Leaf No. 377.2
Twenty-Second Revised Leaf No. 379
Seventeenth Revised Leaf No. 381
Fourth Revised Leaf No. 389
First Revised Leaf No. 389.1
Nineteenth Revised Leaf No. 391
Seventeenth Revised Leaf No. 392
Sixteenth Revised Leaf No. 408.1
Seventh Revised Leaf No. 408.2
Fifth Revised Leaf No. 408.3
Twelfth Revised Leaf No. 408.4
Fifth Revised Leaf No. 408.5
Fifth Revised Leaf No. 408.6
Eighteenth Revised Leaf No. 421
Eighteenth Revised Leaf No. 422
Nineteenth Revised Leaf No. 423
Nineteenth Revised Leaf No. 424
Fourteenth Revised Leaf No. 425
Fifteenth Revised Leaf No. 432
Fourth Revised Leaf No. 433
Fourth Revised Leaf No. 434
Eleventh Revised Leaf No. 438
Fourth Revised Leaf No. 468

Effective: September 1, 2020

PSC No. 214 Electricity

Fourth Revised Leaf No. 2
Fifth Revised Leaf No. 3
Thirty-Fourth Revised Leaf No. 7
Sixth Revised Leaf No. 9.1
Sixteenth Revised Leaf No. 9.2
Second Revised Leaf No. 9.2.1
Fifth Revised Leaf No. 9.5
First Revised Leaf No. 9.5.1
Seventh Revised Leaf No. 9.6
Original Leaf No. 9.6.1
Fourth Revised Leaf No. 9.7
Original Leaf No. 9.8
Fifteenth Revised Leaf No. 10
Eleventh Revised Leaf No. 11
Seventh Revised Leaf No. 12
Fifth Revised Leaf No. 13
Sixth Revised Leaf No. 14
Eighteenth Revised Leaf No. 14.1
Seventeenth Revised Leaf No. 15
First Revised Leaf No. 15.1
Fourteenth Revised Leaf No. 16
Fifteenth Revised Leaf No. 17
Fourteenth Revised Leaf No. 18
Forty-First Revised Leaf No. 19
Eleventh Revised Leaf No. 19.1
First Revised Leaf No. 20.1
Ninth Revised Leaf No. 23
Thirteenth Revised Leaf No. 28
Seventh Revised Leaf No. 29
Eighteenth Revised Leaf No. 30
Twenty-Second Revised Leaf No. 31
Twentieth Revised Leaf No. 32
Eighteenth Revised Leaf No. 33
Seventeenth Revised Leaf No. 33.1
Seventeenth Revised Leaf No. 34
Sixteenth Revised Leaf No. 35
Eighteenth Revised Leaf No. 36
Eighteenth Revised Leaf No. 37
Seventeenth Revised Leaf No. 38
Eleventh Revised Leaf No. 38.1

Sixteenth Revised Leaf No. 39
Eighth Revised Leaf No. 39.1
Eighteenth Revised Leaf No. 40
Seventeenth Revised Leaf No. 41
Fourteenth Revised Leaf No. 42
Tenth Revised Leaf No. 43
First Revised Leaf No. 43.1
Fourteenth Revised Leaf No. 44
Third Revised Leaf No. 44.0.1
Third Revised Leaf No. 44.0.2
Second Revised Leaf No. 44.0.3
Tenth Revised Leaf No. 47
First Revised Leaf No. 47.1
Eighth Revised Leaf No. 50
Tenth Revised Leaf No. 51
Ninth Revised Leaf No. 52
Tenth Revised Leaf No. 53
First Revised Leaf No. 54.1
Nineteenth Revised Leaf No. 55
Twelfth Revised Leaf No. 56
First Revised Leaf No. 56.1
Seventh Revised Leaf No. 58
Original Leaf No. 58.1
Thirty-First Revised Leaf No. 59
Tenth Revised Leaf No. 61
Tenth Revised Leaf No. 62
Second Revised Leaf No. 62.1
Seventh Revised Leaf No. 63
Twenty-Eighth Revised Leaf No. 72
Thirty-Fifth Revised Leaf No. 73
Sixth Revised Leaf No. 74
Seventh Revised Leaf No. 75
Eighth Revised Leaf No. 80
Forty-Third Revised Leaf No. 82
Fourteenth Revised Leaf No. 83
Tenth Revised Leaf No. 84

Effective: September 1, 2020

PSC No. 219 Gas

Thirteenth Revised Leaf No. 2
Seventh Revised Leaf No. 3
Seventh Revised Leaf No. 11
Seventh Revised Leaf No. 13
Fifth Revised Leaf No. 14
Sixth Revised Leaf No. 69
Second Revised Leaf No. 69.1
Sixth Revised Leaf No. 72
Eighth Revised Leaf No. 90
Fourth Revised Leaf No. 90.1
Second Revised Leaf No. 90.1.1
Seventh Revised Leaf No. 93
Twelfth Revised Leaf No. 94
Seventh Revised Leaf No. 95
Twelfth Revised Leaf No. 96
Seventh Revised Leaf No. 96.1
Ninth Revised Leaf No. 97
Ninth Revised Leaf No. 98
Eighth Revised Leaf No. 100
Fifth Revised Leaf No. 104
Sixth Revised Leaf No. 105
Sixth Revised Leaf No. 106
Sixth Revised Leaf No. 106.1
Fourth Revised Leaf No. 106.2
Seventh Revised Leaf No. 107
Seventh Revised Leaf No. 111.1
Seventh Revised Leaf No. 111.1.1
Seventh Revised Leaf No. 111.2
Ninth Revised Leaf No. 112
Eighth Revised Leaf No. 113
Seventh Revised Leaf No. 114
Seventh Revised Leaf No. 116
Eighth Revised Leaf No. 117
Eighth Revised Leaf No. 122
Tenth Revised Leaf No. 122.1
Thirteenth Revised Leaf No. 122.2
Seventh Revised Leaf No. 122.3
Fourteenth Revised Leaf No. 122.4
Seventh Revised Leaf No. 122.4.1
Thirteenth Revised Leaf No. 122.5

Seventh Revised Leaf No. 122.5.1
Fourth Revised Leaf No. 122.5.2
Eleventh Revised Leaf No. 122.6
Fifth Revised Leaf No. 122.6.1
First Revised Leaf No. 122.14
Original Leaf No. 122.14.1
Fifth Revised Leaf No. 122.15
Twenty-third Revised Leaf No. 124
Second Revised Leaf No. 127.1
Sixteenth Revised Leaf No. 129
Fourteenth Revised Leaf No. 130
Fifteenth Revised Leaf No. 133
Fourteenth Revised Leaf No. 134
Third Revised Leaf No. 135
Second Revised Leaf No. 140
Twenty-ninth Revised Leaf No. 141
Third Revised Leaf No. 142
Fifth Revised Leaf No. 143
Second Revised Leaf No. 149
Twenty-sixth Revised Leaf No. 150
Original Leaf No. 150.1
Fourth Revised Leaf No. 151
Third Revised Leaf No. 152
Sixth Revised Leaf No. 153
Seventeenth Revised Leaf No. 154
Seventeenth Revised Leaf No. 155
Fourth Revised Leaf No. 156
Sixth Revised Leaf No. 157
Seventh Revised Leaf No. 171
Thirteenth Revised Leaf No. 179
Ninth Revised Leaf No. 183
Seventh Revised Leaf No. 187
Eleventh Revised Leaf No. 189
Thirteenth Revised Leaf No. 215.1
Eleventh Revised Leaf No. 215.2
Twelfth Revised Leaf No. 216
Twentieth Revised Leaf No. 216.1
Sixth Revised Leaf No. 219.2

Effective: September 1, 2020

PSC NO: 219 GAS
 COMPANY: NIAGARA MOHAWK POWER CORPORATION
 INITIAL EFFECTIVE DATE: 09/01/2020
 STAMP:

STATEMENT TYPE: DSA
 STATEMENT NO: X
 PAGE 1 OF 1

PRO-FORMA
 Statement of Delivery Service Adjustment

<u>Service Classification</u>	<u>NRS</u>	<u>R&D</u>	<u>RDM</u>	<u>GSRS</u>	<u>EAM</u>	<u>SPA</u>	<u>TRA</u>	<u>Total</u>
<u>Firm Sales</u>								
SC-1 Residential	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	Not Applicable	Not Applicable	XXXXXX
SC-2 Residential	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	Not Applicable	Not Applicable	XXXXXX
SC-2 Commercial	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	Not Applicable	Not Applicable	XXXXXX
SC-2 Industrial	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	Not Applicable	Not Applicable	XXXXXX
SC-5 Firm Gas Sales	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	Not Applicable	Not Applicable	XXXXXX
SC-7 Small Volume Firm Sales and Transportation	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	Not Applicable	Not Applicable	XXXXXX
SC-8 Gas Sales and Transportation with Standby Sales Service	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	Not Applicable	Not Applicable	XXXXXX
SC-12 DG Non Res	XXXXXX	XXXXXX	Not Applicable	XXXXXX	XXXXXX	Not Applicable	Not Applicable	XXXXXX
SC-13 DG Residential	XXXXXX	XXXXXX	Not Applicable	XXXXXX	XXXXXX	Not Applicable	Not Applicable	XXXXXX

<u>Firm Transportation</u>	<u>NRS</u>	<u>R&D</u>	<u>RDM</u>	<u>GSRS</u>	<u>EAM</u>	<u>SPA</u>	<u>TRA</u>	<u>Total</u>
SC-1 Residential	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX
SC-2 Residential	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX
SC-2 Commercial	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX
SC-2 Industrial	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX
SC-5 Firm Gas Transportation	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX
SC-7 Small Volume Firm Sales and Transportation	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX
SC-8 Gas Sales and Transportation with Standby Sales Service	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX
SC-12 DG Non Res	XXXXXX	XXXXXX	Not Applicable	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX
SC-13 DG Residential	XXXXXX	XXXXXX	Not Applicable	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX

Note:
 NRS Net Revenue Sharing
 R&D Research and Development Surcharge
 RDM RevenueDecoupling Mechanism Adjustment
 GSRS Gas Safety and Reliability Surcharge
 EAM Earnings Adjustment Mechanism
 SPA System Performance Adjustment
 TRA Gas Transportation Rate Statement : Annual Transportation Imbalance Surcharge or Refund

PSC NO: 219 GAS
NIAGARA MOHAWK POWER CORPORATION
INITIAL EFFECTIVE DATE: 06/01/2020

STATEMENT TYPE: LFR
STATEMENT NO: XX
PAGE 1 OF 1

STATEMENT OF LOAD FACTOR RATIOS
Pursuant to Rule 17.3.9 of PSC No. 219 Gas

SC1 Residential	XXXX
SC2 Residential & Commercial	XXXX
SC2 Industrial	XXXX
SC 5 Firm Gas Sales and Transportation Service	XXXX
SC 7 Small Volume Firm Gas Sales and Transportation Service	XXXX
SC 8 Gas Sales and Transportation Service	XXXX
SC12 Non-Residential Distributed Generation	XXXX
SC13 Residential Distributed Generation	XXXX

Issued by: John Bruckner, President, Syracuse, New York

PROFORMA

PSC NO: 219 GAS
NIAGARA MOHAWK POWER CORPORATION
INITIAL EFFECTIVE DATE: 09/01/20
STAMPS:

STATEMENT TYPE: RDM
STATEMENT NO: XX

STATEMENT OF REVENUE DECOUPLING

Applicable to Service Classification Nos. 1, 2, 5, 7, and 8

ANNUAL SURCHARGE/CREDIT PER CLASS IN ACCORDANCE WITH SECTION X.X OF THE JOINT PROPOSAL IN CASE XX-G-XXXX

	<u>\$ per therm</u>
SC1 Residential Heat and Non Heat	\$ XXXXX
SC2 Residential/Commercial/Industrial	\$ XXXXX
SC5 Firm Gas Sales and Transportation	\$ XXXXX
SC7 Small Volume Firm Sales and Transportation	\$ XXXXX
SC8 Gas Sales and Transportation with Standby Sales Service	\$ XXXXX

Issued By: John Bruckner, President, Syracuse, New York

PSC NO: 219 GAS
 NIAGARA MOHAWK POWER CORPORATION
 INITIAL EFFECTIVE DATE: 09/01/20
 STAMPS:

STATEMENT TYPE: MCG
 STATEMENT NO: XX
 PAGE 1 OF 3

MONTHLY COST OF GAS STATEMENT
Applicable to Billing for Service Classification Nos. 1 & 2

	SC1	SC2	SC2
	<u>Per Therm</u>	<u>Residential & Commercial</u>	<u>Industrial</u>
		<u>Per Therm</u>	<u>Per Therm</u>
As determined on: XXXX			
Supplier Rates in effect on: XXXXX			
Weighted Average Commodity Cost of Gas as defined in General Information Rule 17.3.3:	XXXXX	XXXXX	XXXXX
Weighted Average Demand Cost of Gas as defined in General Information Rule 17.3.4:	XXXXX	XXXXX	XXXXX
Total Weighted Average Cost of Gas:	XXXXX	XXXXX	XXXXX
Factor of Adjustment (LAUF):	XXXXX	XXXXX	XXXXX
Resulting Monthly Cost Adjustment:	XXXXX	XXXXX	XXXXX
Reconciliation of Gas Cost Recoveries to Gas Costs Incurred. Applicable to period: Twelve Months Ending X/X/XXXX, Refund Effective X/X/XXXX-X/X/XXXX	XXXXX	XXXXX	XXXXX
Monthly Imbalance Surcharge or Refund (after LAUF):	XXXXX	XXXXX	XXXXX
Effective Monthly Cost of Gas (MCG): Commencing with usage on or after the effective date of this statement and thereafter until changed.	XXXXX	XXXXX	XXXXX
System Performance Adjustment (SPA) as set forth in SPA Statement	XXXXX	XXXXX	XXXXX
Grand Total (MCG & SPA)	XXXXX	XXXXX	XXXXX

PSC NO: 219 GAS
 NIAGARA MOHAWK POWER CORPORATION
 INITIAL EFFECTIVE DATE: 09/01/20
 STAMPS:

STATEMENT TYPE: MCG
 STATEMENT NO: XXX
 PAGE 2 OF 3

MONTHLY COST OF GAS STATEMENT
Applicable to Billing for Service Classification Nos. 5, 7 & 8

	SC5 Firm Gas Sales <u>Per Therm</u>	SC7 Small Volume Sales <u>Per Therm</u>	SC8 Gas Sales <u>Per Therm</u>
As determined on: XXXX			
Supplier Rates in effect on: XXXX			
Weighted Average Commodity Cost of Gas as defined in General Information Rule 17.3.3:	XXXXXX	XXXXXX	XXXXXX
Weighted Average Demand Cost of Gas as defined in General Information Rule 17.3.4:	XXXXXX	XXXXXX	XXXXXX
Total Weighted Average Cost of Gas:	XXXXXX	XXXXXX	XXXXXX
Factor of Adjustment (LAUF):	XXXXXX	XXXXXX	XXXXXX
Resulting Monthly Cost Adjustment:	XXXXXX	XXXXXX	XXXXXX
Reconciliation of Gas Cost Recoveries to Gas Costs Incurred. Applicable to period: Twelve Months Ending X/X/XXXX, Refund Effective X/X/XXXX-X/X/XXXX	XXXXXX	XXXXXX	XXXXXX
Monthly Imbalance Surcharge or Refund (after LAUF):	XXXXXX	XXXXXX	XXXXXX
Effective Monthly Cost of Gas (MCG): Commencing with usage on or after the effective date of this statement and thereafter until changed.	XXXXXX	XXXXXX	XXXXXX
System Performance Adjustment (SPA) as set forth in SPA Statement	XXXXXX	XXXXXX	XXXXXX
Grand Total (MCG & SPA)	XXXXXX	XXXXXX	XXXXXX

PSC NO: 219 GAS
 NIAGARA MOHAWK POWER CORPORATION
 INITIAL EFFECTIVE DATE: 09/01/20
 STAMPS:

STATEMENT TYPE: MCG
 STATEMENT NO: XXX
 PAGE 3 OF 3

MONTHLY COST OF GAS STATEMENT
Applicable to Billing for Service Classification Nos. 12 & 13

	SC12 Distributed Generation Non-Residential <u>Per Therm</u>	SC13 Distributed Generation Residential <u>Per Therm</u>
As determined on: XXXX		
Supplier Rates in effect on: XXXX		
Weighted Average Commodity Cost of Gas as defined in General Information Rule 17.3.3:	XXXXXX	XXXXXX
Weighted Average Demand Cost of Gas as defined in General Information Rule 17.3.4:	XXXXXX	XXXXXX
Total Weighted Average Cost of Gas:	XXXXXX	XXXXXX
Factor of Adjustment (LAUF):	XXXXXX	XXXXXX
Resulting Monthly Cost Adjustment:	XXXXXX	XXXXXX
Reconciliation of Gas Cost Recoveries to Gas Costs Incurred. Applicable to period: Twelve Months Ending X/X/XXXX, Refund Effective X/X/XXXX-X/X/XXXX	XXXXXX	XXXXXX
Monthly Imbalance Surcharge or Refund (after LAUF):	XXXXXX	XXXXXX
Effective Monthly Cost of Gas (MCG): Commencing with usage on or after the effective date of this statement and thereafter until changed.	XXXXXX	XXXXXX
System Performance Adjustment (SPA) as set forth in SPA Statement	XXXXXX	XXXXXX
Grand Total (MCG & SPA)	XXXXXX	XXXXXX

PSC NO: 219 GAS
 NIAGARA MOHAWK POWER CORPORATION
 INITIAL EFFECTIVE DATE: 09/01/20
 STAMPS:

STATEMENT TYPE: MFC
 STATEMENT NO: XX
 PAGE 1 OF 4

MERCHANT FUNCTION CHARGE STATEMENT

Applicable to Customers under Service Classification Nos. 1, 2, 5, 7, 8, 12 and 13

Effective with usage on or after the effective date of this statement and thereafter until changed

		SC1 Residential Per Therm	SC2 Residential & Commercial Per Therm	SC2 Industrial Per Therm
1)	Commodity Related Uncollectible Charge	XXXX	XXXX	XXXX
2)	Gas Supply Procurement Charge	XXXX	XXXX	XXXX
3)	Commodity Related Credit and Collections Charge	XXXX	XXXX	XXXX
4)	Return Requirement on Gas Storage Inventory	XXXX	XXXX	XXXX
5)	Return Requirement on Gas Purchase Related to Working Capital	XXXX	XXXX	XXXX
Sum (1-5)	Total Merchant Function Charge	XXXX	XXXX	XXXX

Issued by: John Bruckner, President, Syracuse, New York

PSC NO: 219 GAS
 NIAGARA MOHAWK POWER CORPORATION
 INITIAL EFFECTIVE DATE: 09/01/20
 STAMPS:

STATEMENT TYPE: MFC
 STATEMENT NO: XX
 PAGE 2 OF 4

MERCHANT FUNCTION CHARGE STATEMENT

Applicable to Customers under Service Classification Nos. 1, 2, 5, 7, 8, 12 and 13

Effective with usage on or after the effective date of this statement and thereafter until changed

		SC5 Firm Gas Sales Per Therm	SC7 Small Volume Sales Per Therm	SC8 Gas Sales Per Therm
1)	Commodity Related Uncollectible Charge	XXXX	XXXX	XXXX
2)	Gas Supply Procurement Charge	XXXX	XXXX	XXXX
3)	Commodity Related Credit and Collections Charge	XXXX	XXXX	XXXX
4)	Return Requirement on Gas Storage Inventory	XXXX	XXXX	XXXX
5)	Return Requirement on Gas Purchase Related to Working Capital	XXXX	XXXX	XXXX
Sum (1-5)	Total Merchant Function Charge	XXXX	XXXX	XXXX

Issued by: John Bruckner, President, Syracuse, New York

PSC NO: 219 GAS
NIAGARA MOHAWK POWER CORPORATION
INITIAL EFFECTIVE DATE: 09/01/20
STAMPS:

STATEMENT TYPE: MFC
STATEMENT NO: XX
PAGE 3 OF 4

MERCHANT FUNCTION CHARGE STATEMENT**Applicable to Customers under Service Classification Nos. 1, 2, 5, 7, 8, 12 and 13**

Effective with usage on or after the effective date of this statement and thereafter until changed

		SC12 Distributed Generation Non-Residential <u>Per Therm</u>	SC13 Distributed Generation Residential <u>Per Therm</u>
1)	Commodity Related Uncollectible Charge	XXXX	XXXX
2)	Gas Supply Procurement Charge	XXXX	XXXX
3)	Commodity Related Credit and Collections Charge	XXXX	XXXX
4)	Return Requirement on Gas Storage Inventory	XXXX	XXXX
5)	Return Requirement on Gas Purchase Related to Working Capital	XXXX	XXXX
Sum (1-5)	Total Merchant Function Charge	XXXX	XXXX

Issued by: John Bruckner, President, Syracuse, New York

PSC NO: 219 GAS
NIAGARA MOHAWK POWER CORPORATION
INITIAL EFFECTIVE DATE: 09/01/20
STAMPS:

STATEMENT TYPE: MFC
STATEMENT NO: XX
PAGE 4 OF 4

MERCHANT FUNCTION CHARGE STATEMENT

**Applicable to ESCOs that Participate in the Company's POR Program that Serve Customers
taking Transportation Service under Service Classification Nos. 1MB, 2MB, 5, 7, 8, 12DB, 12MB and 13MB**
Effective with usage on or after the effective date of this statement and thereafter until changed

	SC1 MB Residential <u>Per Therm</u>	SC2 MB, 5, 7, 8, 12DB, 12MB and 13MB <u>Per Therm</u>
Commodity Related Credit and Collections Charge (\$/Therm):	XXXX	XXXX

Issued by: John Bruckner, President, Syracuse, New York

PSC NO: 219 GAS
 NIAGARA MOHAWK POWER CORPORATION
 INITIAL EFFECTIVE DATE: 09/01/20

STATEMENT TYPE: WNA
 STATEMENT NO: X
 PAGE 1 OF 1

PRO-FORMA
STATEMENT OF WEATHER NORMALIZATION DEGREE DAY FACTORS AND BASE LOADS

Applicable to heating customers served under Service Classification Nos. 1, 2, 5 and 7
 Pursuant to Rule 27 of PSC No. 219 Gas.

Heating Degree Day Factors:
 (Average Therms Consumed Per Heating Degree Day)

Month	SC-1 Res Heat	SC-2 Res Heat	SC-2 Res Heat MB	SC-2 Com Heat	SC-2 Com Heat MB	SC-5 Heat	SC-7 Heat
October	X.XXXX	X.XXXX	X.XXXX	X.XXXX	X.XXXX	X.XXXX	X.XXXX
November	X.XXXX	X.XXXX	X.XXXX	X.XXXX	X.XXXX	X.XXXX	X.XXXX
December	X.XXXX	X.XXXX	X.XXXX	X.XXXX	X.XXXX	X.XXXX	X.XXXX
January	X.XXXX	X.XXXX	X.XXXX	X.XXXX	X.XXXX	X.XXXX	X.XXXX
February	X.XXXX	X.XXXX	X.XXXX	X.XXXX	X.XXXX	X.XXXX	X.XXXX
March	X.XXXX	X.XXXX	X.XXXX	X.XXXX	X.XXXX	X.XXXX	X.XXXX
April	X.XXXX	X.XXXX	X.XXXX	X.XXXX	X.XXXX	X.XXXX	X.XXXX
May	X.XXXX	X.XXXX	X.XXXX	X.XXXX	X.XXXX	X.XXXX	X.XXXX

Average Base Loads:

Service Classification	Average Baseload, BL
SC-1 Res Heat	XXXX therms
SC-2 Res Heat	XXXX therms
SC-2 Res Heat MB	XXXX therms
SC-2 Com Heat	XXXX therms
SC-2 Com Heat MB	XXXX therms
SC-5 Heat	XXXX therms
SC-7 Heat	XXXX therms

NIAGARA MOHAWK POWER CORPORATION
d/b/a NATIONAL GRID
2020 Electric and Gas Rate Case
List of Witnesses

Witnesses	Title	Purpose of Testimony	Testimony
John Bruckner James M. Molloy	President, New York Vice President, Regulation and Pricing – New York	Policy issues and overview of filing	Policy Panel
Ann E. Bulkley Joshua C. Nowak	Sr. Vice President, Concentric Energy Advisors, Inc. Asst. Vice President, Concentric Energy Advisors, Inc.	Return on Equity	Return on Equity Panel
Jonathan Cohen David B. Doxsee Keith Magee	Director, US Treasury, Planning and Strategy Vice President, Finance and Regulatory Strategy, US Director, Regulatory Strategy and Analysis	Cost of capital and capital structure	Capital Structure Panel
Dr. Kimbugwe A. Kateregga	Vice President, Foster Associates Consultants, LLC	Depreciation	Dr. Kimbugwe A. Kateregga
Maureen P. Heaphy	Vice President, U.S. Compensation, Benefits, and Pensions	Employee compensation and benefits	Maureen P. Heaphy
Charles F. Willard	Director, Site Investigation and Remediation	SIR Program	Charles F. Willard
Christopher L. Meyer	Manager, New York Wholesale Electric Supply	Electric supply purchasing	Christopher L. Meyer
Elizabeth D. Arangio	Director, Gas Supply Planning	Gas supply purchasing	Gas Supply Panel

NIAGARA MOHAWK POWER CORPORATION
d/b/a NATIONAL GRID
2020 Electric and Gas Rate Case
List of Witnesses

MaryBeth M. Carroll	Manager, Gas Supply Planning		
Peter C. Metzdorff	Manager, Strategic Asset and System Planning		
Anthony P. Taddeo	Director, Strategic Asset and System Planning		
Joseph F. Gredder	Principal Analyst, Electric Load Forecasting	Electric sales forecast	Joseph F. Gredder
Theodore E. Poe, Jr.	Principal Analyst, Gas Load Forecasting	Gas sales forecast	Theodore E. Poe, Jr.
Matthew T. Barnett	Director, Overhead and Underground Operations, New York Electric	Capital infrastructure and electric system operations, and facility investments	Electric Infrastructure and Operations Panel
Wajiha Mahmoud	Director, Grid Modernization Solutions		
Bart D. Franey	Director, Transmission Asset Management and Planning New York and Director, Transmission Asset Systems and Data		
Kevin Stablewski	Director, New York Distribution Planning and Asset Management		
Brian V. Hayduk	Director, VOLT Enablement Business Requirements		
John-Paul Knauss	Director, US Electric Engineering		

NIAGARA MOHAWK POWER CORPORATION
d/b/a NATIONAL GRID
2020 Electric and Gas Rate Case
List of Witnesses

Melanie W. Littlejohn	Director, Community and Customer Management	Outdoor lighting services	Outdoor Lighting Panel
Fawad Amjad	Director, Transmission & Distribution Services		
Dilip K. Kommineni	Manager, Outdoor Lighting		
John E. Walter	PRO Unlimited, Inc.		
Ross W. Turrini	Group Chief Engineer Gas and US Chief Gas Engineer	Capital infrastructure and gas system operations, Gas Business Enablement, and facility investments	Gas Infrastructure and Operations Panel
Timothy S. Graham	Vice President, Gas Field Operations		
Caroline Hon	Vice President, Gas Resource Management		
Tatiana Roc	Business Lead, Gas Business Enablement Program		
Ross W. Turrini	Group Chief Engineer Gas and US Chief Gas Engineer	Gas safety programs and performance metrics	Gas Safety Panel
Timothy S. Graham	Vice President, Gas Field Operations		
Mark Prewitt	Vice President, Gas Pipeline Safety and Compliance		
Stephen Olive	Senior Vice President and US Chief Information Officer	IT investments and initiatives	Information Technology Panel
Daniel J. DeMauro	Director, IT Regulatory		

NIAGARA MOHAWK POWER CORPORATION
d/b/a NATIONAL GRID
2020 Electric and Gas Rate Case
List of Witnesses

Christopher Murphy	Vice President, US Chief Information Security Officer and Head of Cyber Security Engineering		
Jeffrey P. Martin	Director, Billing and Revenue Strategy		
William F. Donoghue	Director, Finance Technology Enablement		
Kristoffer P. Kiefer	Director, AMI Customer, Business Integration, and Business Case Development	Advanced Metering Infrastructure (AMI)	Advanced Metering Infrastructure Panel *Exhibit___(AMIP-3) Confidential
Nathan W. Boyce	Lead Program Manager, AMI System and Grid Integration		
Paula Leaverton	Manager, Property Tax	Property tax, uncollectible expense, Customer Information System, customer service quality metrics, low income programs, economic development programs, call center, facility investments, and credit card fees	Shared Services Panel *Exhibit___(SSP-9) Confidential
Jon Harrison	Director, Finance Services - Credit and Collections and Payment Processing		
Jeffery P. Martin	Director, Billing and Revenue Strategy		
Arlene Gans	Director, Customer Contact Centers, New York		
Lisa Tallet	Director, New York Customer Energy Management		
Arthur W. Hamlin	Manager, Economic Development		

NIAGARA MOHAWK POWER CORPORATION
d/b/a NATIONAL GRID
2020 Electric and Gas Rate Case
List of Witnesses

Lynn Westerlind	Director, Capital Projects for Property Services		
Rishi Sondhi	Manager, Clean Transportation	Electric vehicle programs	Electric Vehicle Panel
Rachel Flynn-Kasuba	Manager, Clean Transportation		
Don Chahbazpour	Director, Gas Utility of the Future	Future of Heat programs and initiatives, demand response, and economic development programs	Future of Heat Panel
Owen Brady-Traczyk	Manager, Future of Heat		
Lisa M. Tallet	Director, New York Customer Energy Management	Energy efficiency, demand response, EAMs, and platform service revenues	Customer Energy Panel
Brandon Dyer	Manager, Customer Energy Management NY – Commercial and Industrial		
Deborah Lebl	Principal, Performance Incentives		
Philip A. DeCicco	Vice President and Deputy General Counsel, New York Regulatory	Management audit	Philip A. DeCicco
Stephanie A. Briggs	Director, Revenue Requirements, New York	Revenue requirements	Revenue Requirements Panel
Joan E. Godlewski	Principal Analyst, Revenue Requirements, New York		

NIAGARA MOHAWK POWER CORPORATION
d/b/a NATIONAL GRID
2020 Electric and Gas Rate Case
List of Witnesses

Mark W. Stiner	Principal Analyst, Revenue Requirements, New York		
James M. Molloy	Vice President, New York Regulation and Pricing		
David Doxsee	Vice President, Finance and Regulatory Strategy, US		
Kellie I. Smith	Director, New York Pricing	Rate design issues	Electric Rate Design Panel
Carol Teixeira	Manager, New York Electric Pricing		
Howard S. Gorman	HSG Group, Inc.		
Kellie I. Smith	Director, New York Pricing	Rate design issues	Gas Rate Design Panel
Melissa M. Barnes	Manager, New York Gas Pricing		
Howard S. Gorman	HSG Group, Inc.		
Niagara Mohawk Electric Tariff			PSC No. 220 and 214
Niagara Mohawk Gas Tariff			PSC No. 219 – GAS

For Department of State use only.

Notice of Proposed Rule Making (Rate Making only)

Public Service Commission
(SUBMITTING AGENCY)

NOTE: Typing and submission instructions are at the end of this form. Please be sure to COMPLETE ALL ITEMS. Incomplete forms will be cause for rejection of this notice change in text.

1. Proposed action:

The Commission is considering proposed revised tariff leaves filed by Niagara Mohawk Power Corporation d/b/a National Grid ("Niagara Mohawk") that would increase its electric and gas base delivery revenues by \$100.4 million and \$41.8 million, respectively.

2. Statutory authority under which the rule is proposed:

Public Service Law § 66.

3. Subject of the rule:

A tariff filing requesting electric and gas base delivery rate changes and approval of tariff amendments and accounting changes.

4. Purpose of the rule:

Determine whether Niagara Mohawk's tariff filing to increase electric and gas base revenues should be approved.

5. Public hearings (check box and complete as applicable):

☐ A public hearing is not scheduled. (SKIP TO ITEM 8)

☒ A public hearing is required by law and is scheduled below. (**Note:** first hearing date must be at least 60 days after publication of this notice unless a different time is specified in statute.)

☐ A public hearing is not required by law, but is scheduled below.

Time:

Date:

Location:

6. Interpreter services (check only if a public hearing is scheduled):

☒ Interpreter services will be made available to hearing impaired persons, at no charge, upon written request to the agency contact designated in this notice.

NOTICE OF PROPOSED RULE MAKING (Rate Making) (1/18)**PAGE 2 OF 3**

7. Accessibility (check appropriate box only if a public hearing is scheduled):

- ☐ All public hearings have been scheduled at places reasonably accessible to persons with a mobility impairment.
- ☐ Attached is a list of public hearing locations that are **not** reasonably accessible to persons with a mobility impairment. An explanation is submitted regarding diligent efforts made to provide accessible hearing sites.



8. Terms of rule (SELECT ONE SECTION):

- A. ☐ The full text of the rule is attached because it does not exceed 2,000 words.
- B. ☐ A summary of the rule is attached because the full text of the rule exceeds 2,000 words. Web posting of full text of such rule is not required [SAPA §202(1)(a)].
- C. ☒ Pursuant to SAPA §202(7)(b), the agency elects to print a description of the subject, purpose and substance of the rule as defined in SAPA §102(2)(a)(ii) [Rate Making]. Web posting of full text of such rule is not required [SAPA §202(1)(a)].

9. The text of the rule and any required statements and analyses may be obtained from:

Agency contact

Agency Name Central Operations, Public Service Commission

Office address 3 Empire State Plaza, Albany, NY 12223-1350

Telephone E-mail:

10. Submit data, views or arguments to (complete only if different than previously named agency contact):

Agency contact Honorable Michelle Phillips

Agency name New York State Public Service Commission

Office address 3 Empire State Plaza
Albany, NY 12223-1350

Telephone (518) 474-6530 E-mail: secretary@dps.ny.gov

11. Public comment will be received until:

- ☒ 60 days after publication of this notice (MINIMUM public comment period).
- ☐ 5 days after the last scheduled public hearing required by statute (MINIMUM, with required hearing).
- ☐ Other: (specify) _____.

12. A prior emergency rule making for this action was previously published in the _____ issue of the *Register*, I.D. No. _____.

13. Additional matter required by statute:

- ☐ Yes (include below material required by statute).

- ☒ No additional material required by statute.

NOTICE OF PROPOSED RULE MAKING (Rate Making) (1/18)**PAGE 3 OF 3****14. Regulatory Agenda** [See SAPA §202-d(1)]:

- ☐ This action was a Regulatory Agenda item in the following issue of the *State Register*: _____ .
- ☐ This action was not under consideration at the time this agency's Regulatory Agenda was submitted for publication in the *Register*.
- ☒ Not applicable.

15. Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

☒ Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

16. PUBLIC SERVICE COMMISSION ONLY:SAPA NO. **AGENCY CERTIFICATION (To be completed by the person who PREPARED the notice.)**

I have reviewed this form and the information submitted with it. The information contained in this notice is correct to the best of my knowledge.

I have reviewed Article 2 of SAPA and Parts 260 through 263 of 19 NYCRR, and I hereby certify that this notice complies with all applicable provisions.

Name

Signature _____

Address Telephone

E-Mail _____

Date **Please read before submitting this notice:**

1. Except for this form itself, all text must be typed in the prescribed format as described in the Department of State's Register procedures manual, *Rule Making in New York*.
2. Rule making notices, with any necessary attachments (in MS Word), should be e-filed via the Department of State website.

Substance of proposed rule

On July 31, 2020, Niagara Mohawk Power Corporation d/b/a National Grid (“Niagara Mohawk”) filed revised tariff leaves that would increase electric and gas base revenues by \$100.4 million and \$41.8 million, respectively, and modify other tariff provisions and make accounting changes. The Company proposes that new rates, following customary suspension periods, would become effective July 1, 2021.

Method of Service

Name:	
Company/Organization:	
Mailing Address:	
Company/Organization you represent, if different from above:	
E-Mail Address:	
Case/Matter Number:	

Request Type

- ☐ New Petition/Application - I am filing a new petition/application which requires action by the Commission.
- ☐ Service List request – I request to be on the service list for the matter/case.
- ☐ Other – Type of request _____

Service Information (Select one option below)

- ☐ Electronic Service and Waiver – Consent in Case/Matter Identified Above
As duly authorized by the Participant identified above that I represent, I knowingly waive on behalf of that Participant any right under PSL §23(1) to be served personally or by regular mail with Commission orders that affect that Participant and will receive all orders by electronic means in the above Case. If participating individually, I knowingly waive any PSL §23(1) right to service of orders personally or by regular mail and will receive all orders by electronic means in the above Case. This consent remains in effect until revoked.
- ☐ Electronic Service and Waiver – Global Consent in All Cases/Matters
As duly authorized by the Participant identified above that I represent, I knowingly waive on behalf of that Participant any right under PSL §23(1) to be served personally or by regular mail with Commission orders that affect that Participant and will receive all orders by electronic means in all Cases where it participates. If participating individually, I knowingly waive any PSL §23(1) right to service of orders personally or by regular mail, and will receive all orders by electronic means in all Cases where I participate. This consent remains in effect until revoked.
Note: Due to the design of our system, this consent attaches to the individual named here and not to the party that may be represented by that individual. Therefore, individuals who represent multiple parties should be aware that a global consent will affect all matters in which they appear on behalf of any party.
- ☐ I do **not** consent to receive orders electronically

E-Mail Preference (Select one option below) – For Case specific request

E-Mail notifications include a link to filed and issued documents.

- ☐ Notify me of Commission Issued Documents in this case/matter.
- ☐ Notify me of Both Commission Issued Documents and Filings in this case/matter
- ☐ Do not send me any notifications of filed or issued documents

Submitted by:	Date:
---------------	-------