



November 25, 2020

VIA ELECTRONIC FILING

Honorable Michelle L. Phillips, Secretary  
State of New York  
Department of Public Service  
Three Empire State Plaza  
Albany, NY 12223

Re: Case 19-E-0378 and Case 19-G-0379 – New York State Electric & Gas Corporation  
Case 19-E-0380 and Case 19-G-0381 – Rochester Gas and Electric Corporation  
Electric and Gas Rates – Compliance Filing

Dear Secretary Phillips:

The enclosed tariff leaves, issued by New York State Electric & Gas Corporation (“NYSEG”) and Rochester Gas and Electric Corporation (“RG&E”) (together the “Companies”), are being transmitted for filing in compliance with the New York State Public Service Commission’s Order Approving Electric and Gas Rate Plans in Accord With Joint Proposal, with Modifications (the “Order”), issued and effective November 19, 2020, in the above-referenced proceedings. This filing is also made in accordance with Appendix 7-H (electronic tariff filing system) of the New York State Public Service Commission’s (“PSC” or “Commission”) Codes, Rules and Regulations (16 NYCRR Appendix 7-H). Appendix A sets forth a list of the revised tariff leaves pertaining to the following Schedules:

New York State Electric & Gas Corporation

PSC No. 87 – Gas  
PSC No. 88 – Gas  
PSC No. 90 – Gas  
PSC No. 119 – Electricity  
PSC No. 120 – Electricity  
PSC No. 121 – Electricity

Rochester Gas and Electric Corporation

PSC No. 16 – Gas  
PSC No. 18 – Electricity  
PSC No. 19 – Electricity

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The purpose of this filing is to effectuate the electric and gas rate changes, as well as the other requirements of the Order. The tariffs and statements are filed to become effective on December 1, 2020 on a temporary basis<sup>1</sup>. The amendments are summarized below. The applicability of the rate plan provisions for NYSEG and RG&E are governed by the Joint Proposal (“JP”) and associated Appendices as modified and approved in the Commission’s Order.

#### Base Delivery Rates

In accordance with Ordering Clause No. 4 and Ordering Clause No. 6, the revised base delivery rates are reflected in tariffs effective December 1, 2020<sup>2</sup> (“Rate Year 1”), May 1, 2021 (“Rate Year 2”) and May 1, 2022 (“Rate Year 3”) for each service classification are set forth in the attached tariff leaves.

Appendices BB and DD set forth the revenue allocation and rate design for electric and gas rates. The appendices also contain several other rate provisions that have been incorporated into the tariffs and/or statements filed herein:

- Costs for energy efficiency programs that are administered by the Companies and were previously collected through the Energy Efficiency Tracker component of the System Benefits Charges (“SBC”) are now included in base delivery rates. The base delivery rates also include costs associated with Electric Heat Pump programs that will be administered by the Companies. The Energy Efficiency Tracker component of the SBC has been discontinued. A customer that is exempt from paying the SBC will continue to receive an exemption from the costs associated with the Energy Efficiency Tracker and Electric Heat Pump programs through a delivery rate credit that will appear on the customer’s bills.
- Competitive service rates (*i.e.*, electric and gas Merchant Function Charges (“MFC”), electric and gas Purchase of Receivables (“POR”) Discount and Bill Issuance and Payment Processing Charges have been updated based on the results of each Company’s respective Embedded Cost of Service study. The MFC and the POR Discount will be calculated as described in Appendices BB and DD of the JP.
- As set forth in Appendix F to the JP, NYSEG’s electric wholesale transmission revenues embedded in base rates are set at \$49.165 million annually. Any difference between the \$49.165 million embedded in base delivery rates and actual wholesale transmission revenues will be reconciled monthly through the Non-Bypassable Charge (“NBC”).
- The competitive meter charges for electric demand service classifications have been incorporated into the Basic Service Charge.
- The Optional Plug-In Electric Vehicle Charging Rates and Energy Smart Community Rate Option Pilot<sup>3</sup> delivery rates have been updated on a revenue-neutral basis.
- Discounted rates offered under the Companies’ Excelsior Jobs Programs have been updated based on the results of the Companies’ Marginal Cost of Service studies submitted in these

<sup>1</sup> Ordering Clause No. 5 provides that the compliance filing shall not become effective on a permanent basis until approved by the Commission.

<sup>2</sup> The Companies were granted a make-whole period back to April 17, 2020 rather than compressing rates during Rate Year 1.

<sup>3</sup> The Energy Smart Community Rate Option Pilot is only available in Tompkins County within NYSEG’s service territory.

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proceedings. NYSEG's Economic Development Zone Incentive ("EDZI") Program and RG&E's Empire Zone Rates ("EZR") Program have expired and have been removed from the tariffs. Customers that were participating in these programs have completed their incentive terms.

- Electric standby customer charges are set at the same level as otherwise applicable service classifications. The remaining revenue requirement associated with standby rates for each service classification is recovered through contract demand charges and as-used demand charges in proportion to the revenues collected through current contract demand and as-used demand charges.
- The Companies have added new Light Emitting Diode ("LED") options to its Street Lighting and Area/Outdoor Lighting tariffs. Revenue increases have been applied on an equal percentage basis to the unit rates of each class. The revenue increases were not applied to the newly added LED options for Rate Year 1. The Companies are also implementing a revised pricing methodology for sales of the Company's street lighting facilities to municipalities.
- The Companies have modified the natural gas tariffs to provide only the required allowances for mains, service lines and appurtenant facilities ("the 100-foot rule") consistent with 16 NYCRR Part 230.
- The Companies have also modified the Interruptible service classifications. NYSEG has expanded the Interruptible service classifications to its entire service territory. Volumetric delivery rates for the interruptible service classes are capped at 70% of the customers' otherwise applicable service class' firm volumetric delivery rates. For both Companies, any difference between actual interruptible delivery revenues and the level embedded in delivery rates will be reconciled annually and recovered or returned to firm customers. The credit or surcharge associated with the interruptible allocation will be included with the Revenue Decoupling Mechanism credit or surcharge on customer bills. Additionally, the Companies have added penalty provisions to ensure that customers are complying with the tariff requirements.

In accordance with Ordering Clause No. 3, the Companies will be filing revised Appendices BB and CC.

#### Revenue Decoupling Mechanism ("RDM")

The Companies will continue an electric RDM on a total revenue per class RDM basis. The Companies have modified the gas RDM to be similar to the electric RDM and on a total revenue per class basis, for each rate year. For reconciliation purposes, the Companies will maintain two gas RDM classes: residential and non-residential. On a monthly basis, the Companies will compare actual billed delivery service revenue exclusive of the COVID-19 Customer Bill Credit to a delivery service revenue target. If the monthly actual billed delivery service revenue exceeds or is less than the delivery service revenue target, the delivery service revenue excess will be accrued for refund to customers or for recovery from customers to customers at the end of the Rate Year.

In accordance with Ordering Clause No. 3, the Companies will be filing a revised Appendix FF.

#### Rate Adjustment Mechanism ("RAM")

The Companies will continue the RAM for each Business, to return or collect the net balance of RAM Eligible Deferrals and Costs, including: (1) property taxes; (2) Major Storm deferral balances;

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(3) gas leak prone pipe replacement; (4) REV costs and fees which are not covered by other recovery mechanisms; (5) costs associated with the implementation of any Commission-ordered EV program which are not covered by any other cost recovery mechanisms; and (6) energy efficiency expenditures above the Rate Year 1 level (after first allocating the annual unspent funds to the amount)<sup>4</sup>. Beginning July 1, 2021, the Companies will begin the five-year recovery of the Customer Bill Credits as provided in the Covid-19 Pandemic Customer Relief provisions of the JP.

The policies and procedures with respect to the RAM are set forth in Appendix W of the JP. The annual RAM recovery / return shall be limited to: (1) \$21.0 million for NYSEG Electric; (2) \$5.2 million for NYSEG Gas; (3) \$12.1 million for RG&E Electric; and (4) \$4.5 million for RG&E Gas.

Earnings Adjustment Mechanism (EAM) and Non-Wires/Non-Pipes Alternatives (NWA/NPA)

The Companies are implementing surcharges for recovery of incentives earned for meeting Earning Adjustment Mechanism targets and for the implementation of Non-Wires or Non-Pipe Alternative projects. Appendix X provides the EAMs for the electric and gas businesses. The policies and procedures with respect to the NWA and NPA projects are set forth in Appendix HH

Advanced Metering Infrastructure (“AMI”)

The Companies are filing tariff revisions that will allow a customer to opt out of receiving an AMI meter when the Companies begin their deployment of AMI in 2022. Customers will be notified prior to the roll-out of AMI and will be provided information regarding their option.

Newspaper Publication

Notice of this filing will be published in newspapers having general circulation in each Company’s service territory and proof of publication shall be filed with the Secretary in accordance with Ordering Clause No. 7 of the Order.

Company Contacts

Questions concerning this filing should be directed to me at lacole@nyseg.com, with a copy to NYRegAdmin@iberdrolausa.com.

Very truly yours,



Lori A. Cole  
Manager - Regulatory & Tariffs  
Rates and Regulatory Economics Department

Enclosures

cc: Active Parties

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<sup>4</sup> Order, page 53.

**New York State Electric & Gas Corporation**  
**Rochester Gas and Electric Corporation**  
Rate Case Tariff Filing  
List of Filed Leaves

**PSC No. 16 – Gas**

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SBC Statement No 17

**PSC No. 18 – Street Lighting**

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**PSC No. 19 - Electricity**

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**PSC No. 90 - Gas**

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**PSC No. 119 – Electricity**

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**PSC No. 120 – Electricity**

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Leaf No. 161, Revision 21  
Leaf No. 165, Revision 10  
Leaf No. 166, Revision 31  
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Leaf No. 171, Revision 5  
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Leaf No. 173, Revision 19  
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Leaf No. 185, Revision 14  
Leaf No. 193.4, Revision 16  
Leaf No. 194, Revision 17  
Leaf No. 195, Revision 14  
Leaf No. 197, Revision 31  
Leaf No. 198, Revision 26  
Leaf No. 198.2, Revision 12  
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Leaf No. 216, Revision 25  
Leaf No. 216.1, Revision 6  
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Leaf No. 227, Revision 10  
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Leaf No. 229, Revision 24  
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Leaf No. 242, Revision 3  
Leaf No. 243, Revision 19  
Leaf No. 243.2, Revision 14  
Leaf No. 243.3, Revision 6  
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Leaf No. 244, Revision 3  
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Leaf No. 274.1, Revision 18  
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Leaf No. 296, Revision 15  
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Leaf No 2.1, Revision 0  
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Leaf No. 5, Revision 4  
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Leaf No. 9, Revision 6  
Leaf No. 14.0, Revision 3  
Leaf No. 14.1, Revision 10  
Leaf No. 14.2, Revision 6  
Leaf No. 14.2.1, Revision 0  
Leaf No. 14.3, Revision 2  
Leaf No. 14.4, Revision 1  
Leaf No. 14.5, Revision 0  
Leaf No. 15, Revision 2  
Leaf No. 16, Revision 11  
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Leaf No. 58.1, Revision 5  
Leaf No. 58.2, Revision 5  
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Leaf No. 61.1, Revision 5  
Leaf No. 61.1.1, Revision 0  
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SBC Statement No. 20