PSC No: 120 - Electricity New York State Electric & Gas Corporation Initial Effective Date: June 1, 2016 Issued in Compliance with Order in Case 14-E-0423, dated, June 18, 2015 Leaf No. 117.46.18 Revision: 1 Superseding Revision: 0

GENERAL INFORMATION

36. Direct Load Control Program

A. Applicability

All Customers, whether receiving electricity from the Company or an ESCO, unless the customer is required to participate in mandatory Hourly Pricing or voluntarily elects Hourly Pricing.

B. <u>Eligibility</u>

To participate under this Program, a Customer must have load controllable equipment and agree to the installation of a Control Device.

C. Designated Areas of Participation

Various Programs shall be offered to eligible customers within the Company's service territory unless otherwise noted.

D. Definitions

The following terms are defined for purposes of this Program only:

Capability Period: The period during which the Company can request Load Relief. The Capability Period shall be from May 1 through September 30.

Company Designated Area: An electrically defined area determined by the Company to be approaching system capacity limits during peak periods. A current list of the Company Designated Areas shall be listed on the Company's website.

Control Device: A device installed on the Customer's load controllable equipment via a smart plug or embedded control that allows the Company to remotely control the equipment when an Event is called. For purposes of this Program, Control Device means one or more devices as may be required to control the equipment. Each Control device contains a feature that allows the Customer to override the Company's control of the Customer's equipment. The Control Device must be provided, installed, and connected to the Internet by the Company or its Contractor, or it must be installed and connected to the Internet by the Customer who enrolled in the Program through a Service Provider. If internet connection is not feasible, another connection method may be acceptable at the Company's discretion.

Event: A period of time when the Company may remotely control the customer's load controllable equipment.

Load Relief: Energy (kWh) that is ordinarily delivered by the Company that is reduced by the Participating Customer.

Load Relief Period: The hours for which the Company requests Load Relief when it designates an Event or a Test.

Service Provider: A provider registered with the Company to develop, maintain, and operate a communications portal that enables Internet-connected Control Devices to participate under this program. A list of current Service Providers is available on the Company's website.

Test: The Company's request to provide one hour of Load Relief on not less than two hours advance notice.

ISSUED BY: James A. Lahtinen, Vice President Rates and Regulatory Economics, Binghamton, New York