

PSC No: 19 - Electricity  
Rochester Gas and Electric Corporation  
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## GENERAL INFORMATION

### 11. GENERAL RETAIL ACCESS - MULTI-RETAILER MODEL (Cont'd)

#### (h) Overpayments:

Overpayments made by an ESCO/DC as a result of an inaccurate invoice or as determined through the Dispute Resolution Process shall be credited to the ESCO/DC's account if a prior shortage exists or be refunded otherwise. Such credit or refund must occur within five (5) business days of a determination that an overpayment occurred. Such overpayments shall earn interest at the rate of one and one-half percent (1.5%) per month from the date of the overpayment until the date of the credit or repayment, whichever applies. If the overpayment is returned through a refund, the refund shall be rendered to the ESCO/DC by EFT or check, as mutually agreed to by the parties. Any applicable bank fees associated with EFTs shall be assessed to and paid by the ESCO/DC.

Interest on an underbilling is only payable when associated with a finding of deficiency on the part of the party holding the funds determined to be due the other party (see Rule 11.G, ESCO/DC Complaint or Dispute Resolution Process).

Overpayments made voluntarily by an ESCO/DC shall be credited to the ESCO/DC's account and shall not earn interest unless the overpayment is applied to the security deposit account.

#### (i) Other Charges to ESCOs/DCs from RG&E:

In addition to energy imbalances, late payment charges, additional historical customer usage, billing and credit information, and Special Meter Reading charges, RG&E may charge for other rates and charges approved by the PSC and set forth in RG&E's tariff.

#### (j) ESCO/DC Reimbursement:

i. RG&E's reimbursement methodology incorporates only those costs ESCOs/DCs incur as a result of providing service to Customers pursuant to RG&E's General Retail Access. RG&E will reimburse ESCOs/DCs for certain NYISO Ancillary Services, as more particularly described below, and NYPA Transmission Access Charges ("NTAC").

ii. The ESCO/DC Reimbursement consists of two components:

##### (a) NYISO Ancillary Services and NYPA Transmission Adjustment Charge (NTAC):

Reimbursement for the NYISO Ancillary Services and NTAC will be calculated by multiplying the ESCO's load in each hour by the NYISO ancillary services charges for Schedule 1 (fixed and variable), 2, 3, 5 and 6 and NTAC applicable to that hour, and summed over all hours in the calendar month.

##### (b) Unaccounted For Energy (UFE) in the Energy Component of the MBBC:

Reimbursement for UFE in the Energy Component of the MBBC will be calculated by multiplying the ESCO's UFE in each hour by the day ahead NYISO posted LBMP of electricity for NYISO Zone B, and summed over all hours in the calendar month. UFE reimbursement will be trued-up in accordance with the NYISO billing schedule.

iii. Each ESCO/DC is required to pay their NYISO bill in accordance with the NYISO Tariff. An ESCO's/DC's failure to meet this monthly requirement may result in a delay or suspension of future reimbursement funds by RG&E to that ESCO/DC.

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