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Rochester Gas and Electric Corporation

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GENERAL INFORMATION

PART II - RULES AND REGULATIONS

2. HOW TO OBTAIN SERVICE

A. QUALIFICATION AND APPLICATION

(1) New York State Eligibility

In accordance with Public Service Commission (PSC) Opinion 97-5 and Order in Case 94-E-0952 [In the Matter of Competitive Opportunities Regarding Electric Service] issued and effective May 19, 1997, the Distribution Customer must file an application with, and subsequently receive a determination of eligibility from, the Consumer Services Division of the New York State Department of Public Service to be eligible to act as a commodity supplier and/or purchase distribution services from a Distribution Provider for resale to its customers in New York State. The application to the Department of Public Service must contain the following information:

- (a) Name and address of corporate headquarters, as well as any energy affiliates located or operating in New York State;
- (b) Name, address, and contact person of any entity that holds an ownership interest of 10% or more in those affiliates listed in (a) above;
- (c) Proof of registration with New York Department of State;
- (d) Proof that the applicant has met all applicable requirements of the Independent System Operator (ISO) and/or the Power Exchange;
- (e) A description of the customers the applicant intends to serve by type (i.e. residential, small commercial, large commercial, industrial), and geographic region of the State;
- (f) A description of how the applicant intends to comply with the required consumer protections;
- (g) If the applicant intends to render bills to customers, a sample copy of the applicant billing form sufficient to display the proposed format and content;
- (h) A description of the procedures the applicant intends to follow to protect customers from any unauthorized switch of provider;
- (i) A description of the applicant's procedures for handling and resolving customers' complaints; and
- (j) A copy of the applicant's disclosure statement to be provided to customers prior to a contract offer.

The Distribution Provider assumes no responsibility for supervising this process.

(Continued on next leaf)

ISSUED BY: James A. Lahtinen, Vice President Rates and Regulatory Economics, Rochester, New York